

# Backscatter, Particle Size Distribution & Ocean Color: Status & Path(s) Forward

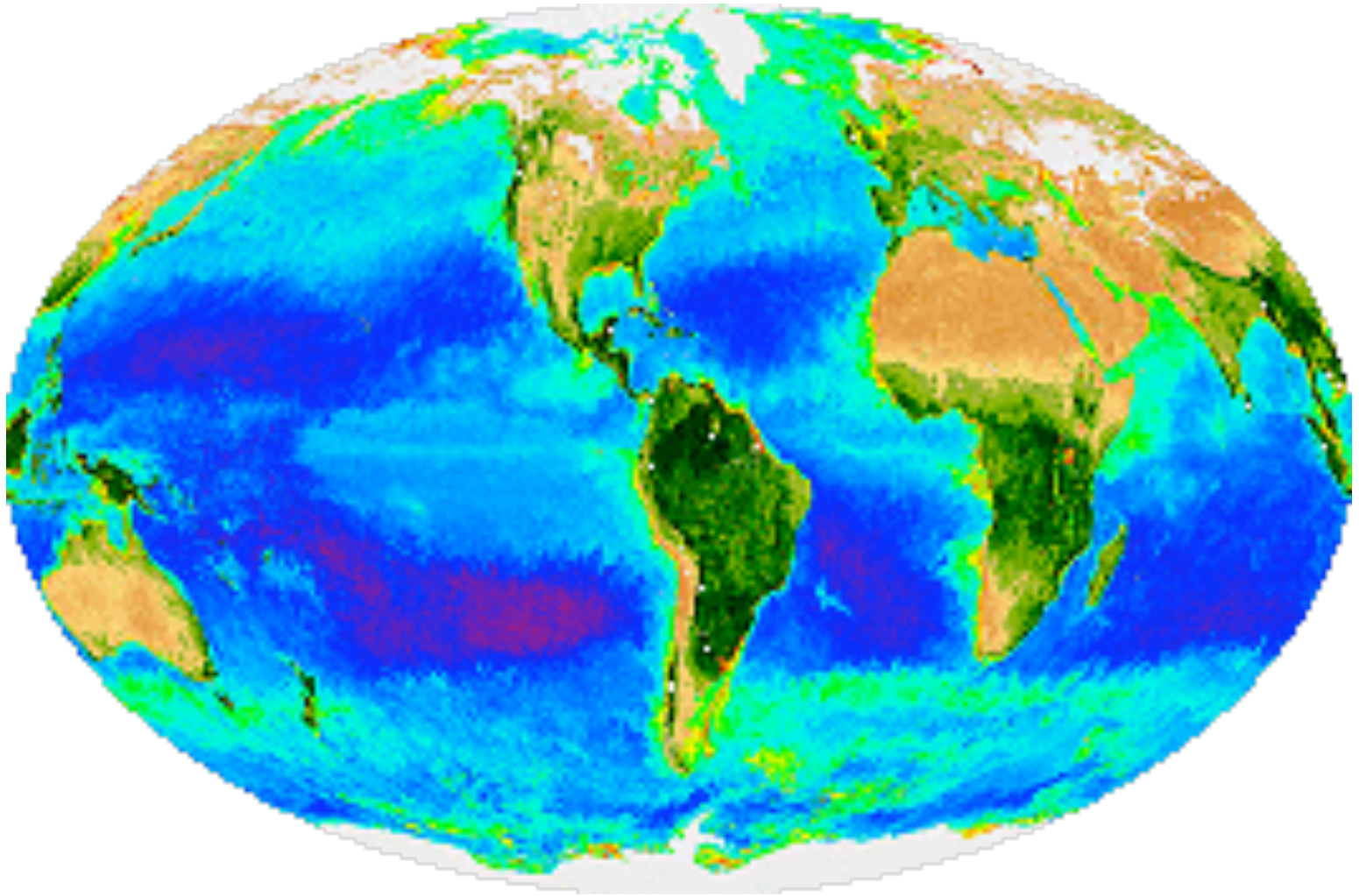
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With help from:

David Antoine, Barney Balch, Mike Behrenfeld, Emmanuel Boss,  
Giorgio Dall'Almo, Tiho Kostadinov, Stéphane Maritorena, Norm  
Nelson, Dariusz Stramski, Toby Westberry, ...

# Global Chlorophyll



<http://oceancolor.gsfc.nasa.gov/SeaWiFS/HTML/SeaWiFS.BiosphereAnimation.html>

# Chlorophyll is great...

We can [finally] see the ocean biosphere!

Assess local to global scale variability

Trends of change on decade time scales

Global data for building & validating models

We can assess net primary production

Model NPP as  $f(\text{Chl} \ \& \ \text{light})$  - other ways too...

# But, chlorophyll is ...

## Not Often What We Want

We want BGC-relevant measures (biomass)

Need Chl/C to compare w/ BGC models

But  $\text{Chl/C} = f(\text{light, nuts, species, etc.})$

## Nor is it The Whole Story

There's more than just chlorophyll

We need more information...

# Particles are Important!

Plankton are “particles” & one goal is to assess changes in their stocks & rates

Particle aggregation & sinking drives the biological pump (~10 Gt/y)

Particle characteristics (POC, PIC, PhytoC, PSD, ...) provide BGC-relevant information

# Particle Parameter Retrievals

## *Empirical path*

Model directly using simultaneous particle &  
 $L_{wN}(\lambda)$  observations

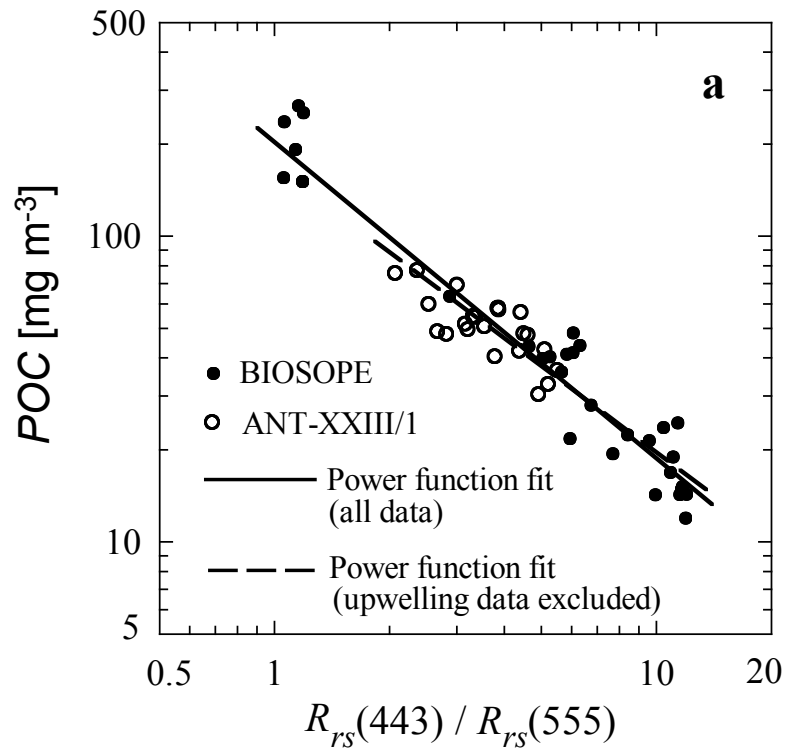
## *Backscattering path*

Retrieve particle backscatter coefficient,  $b_{bp}(\lambda)$

Model particle characteristics using  $b_{bp}(\lambda)$

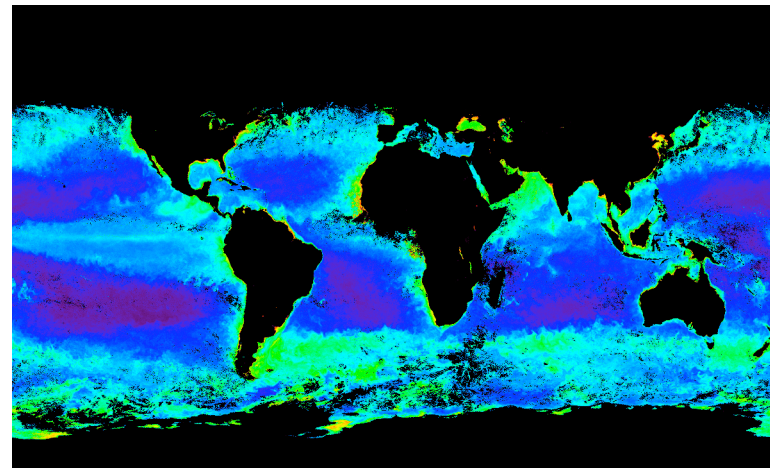
Both paths are data limited!!

# Empirical POC Modeling

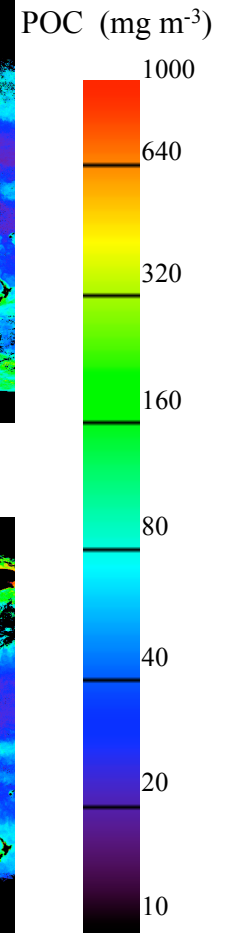
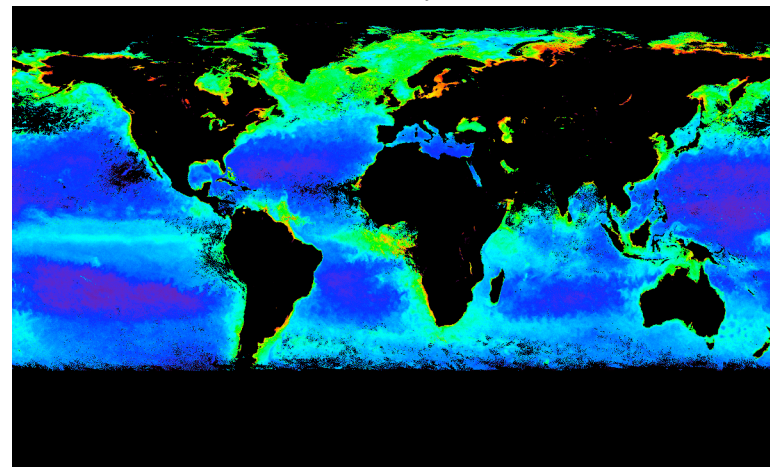


based on field data from the eastern South Pacific and eastern Atlantic

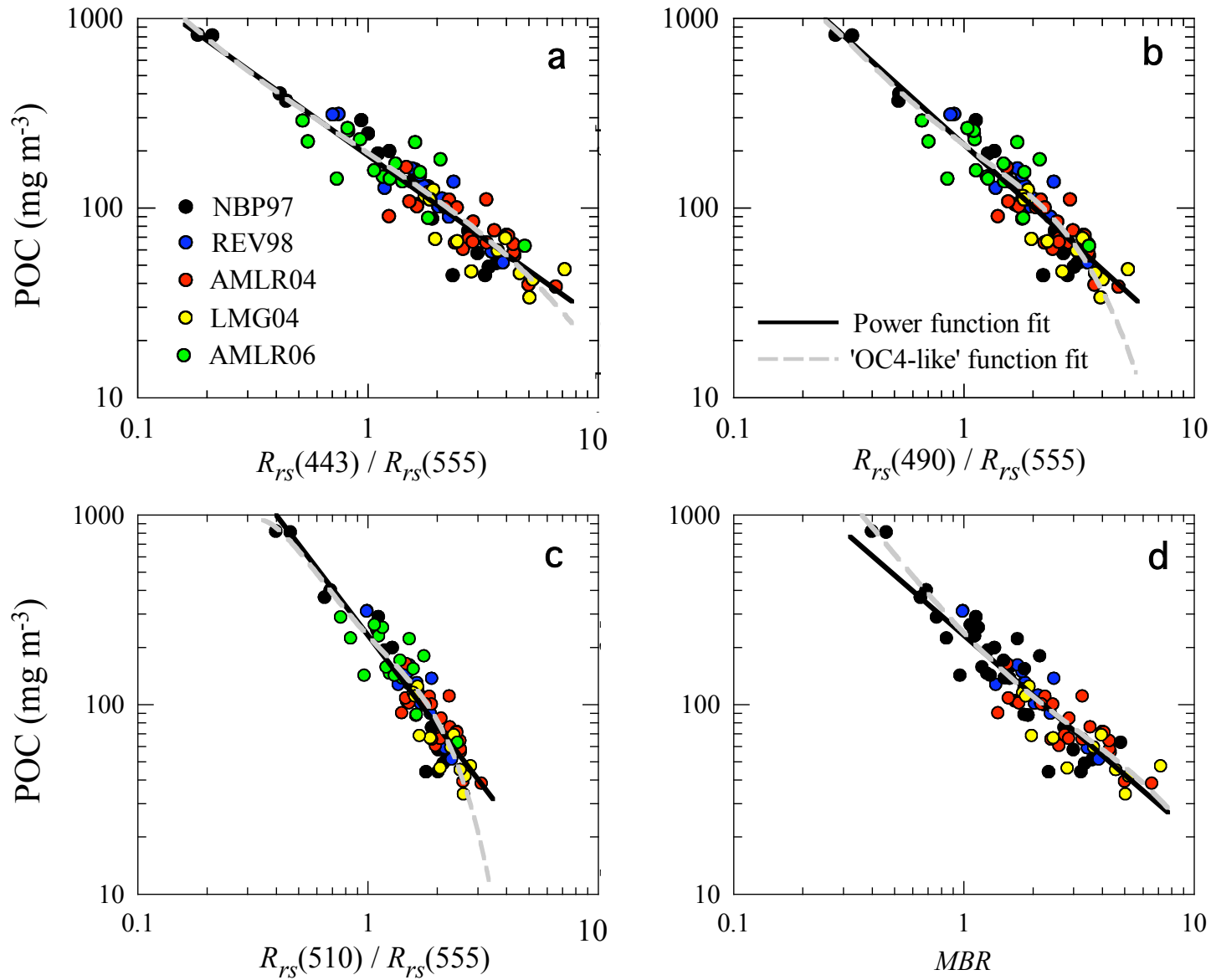
POC January 2005



POC July 2005



# POC band-ratio algorithms for Southern Ocean

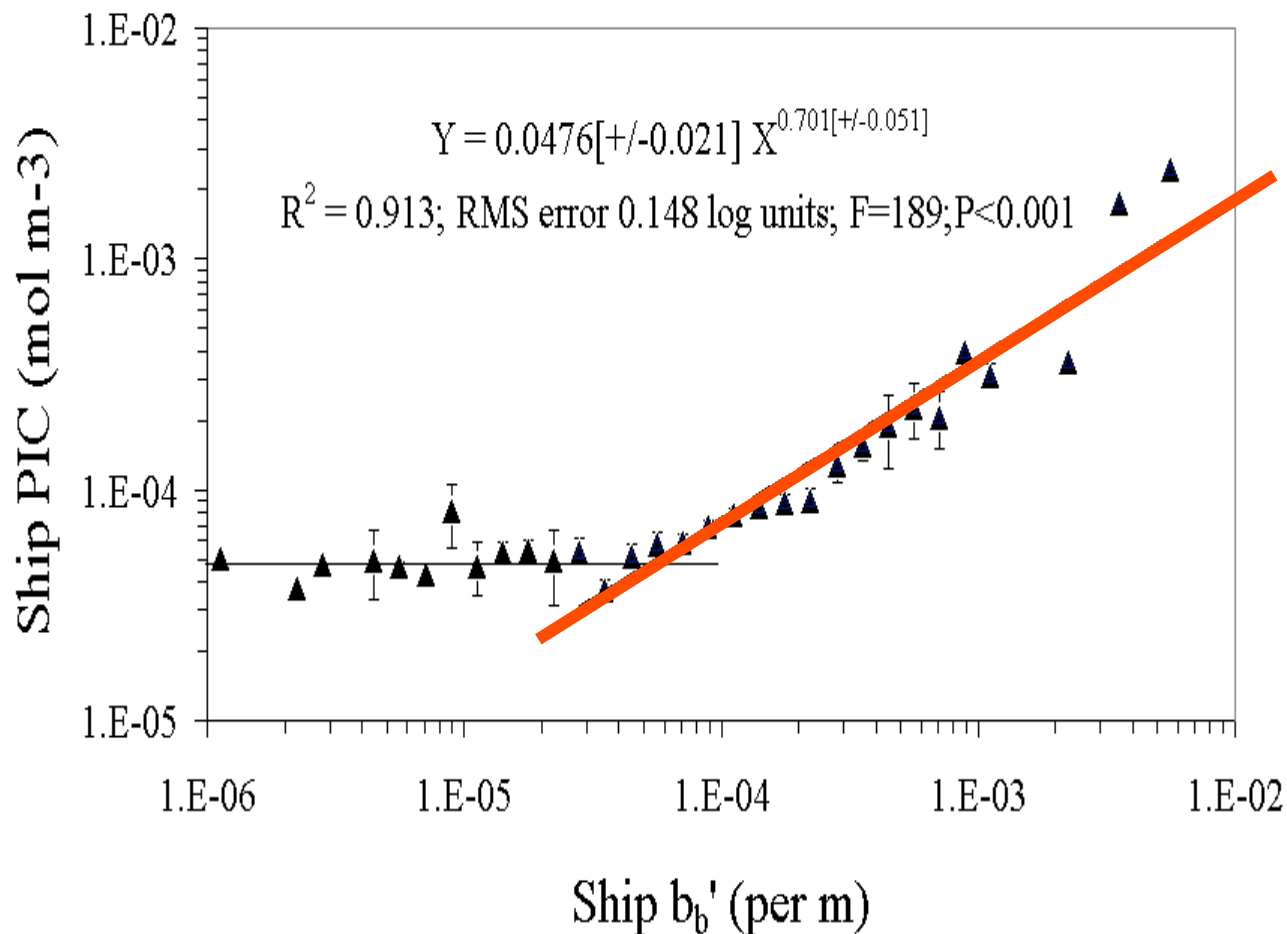




# Observation & Retrieval of PIC

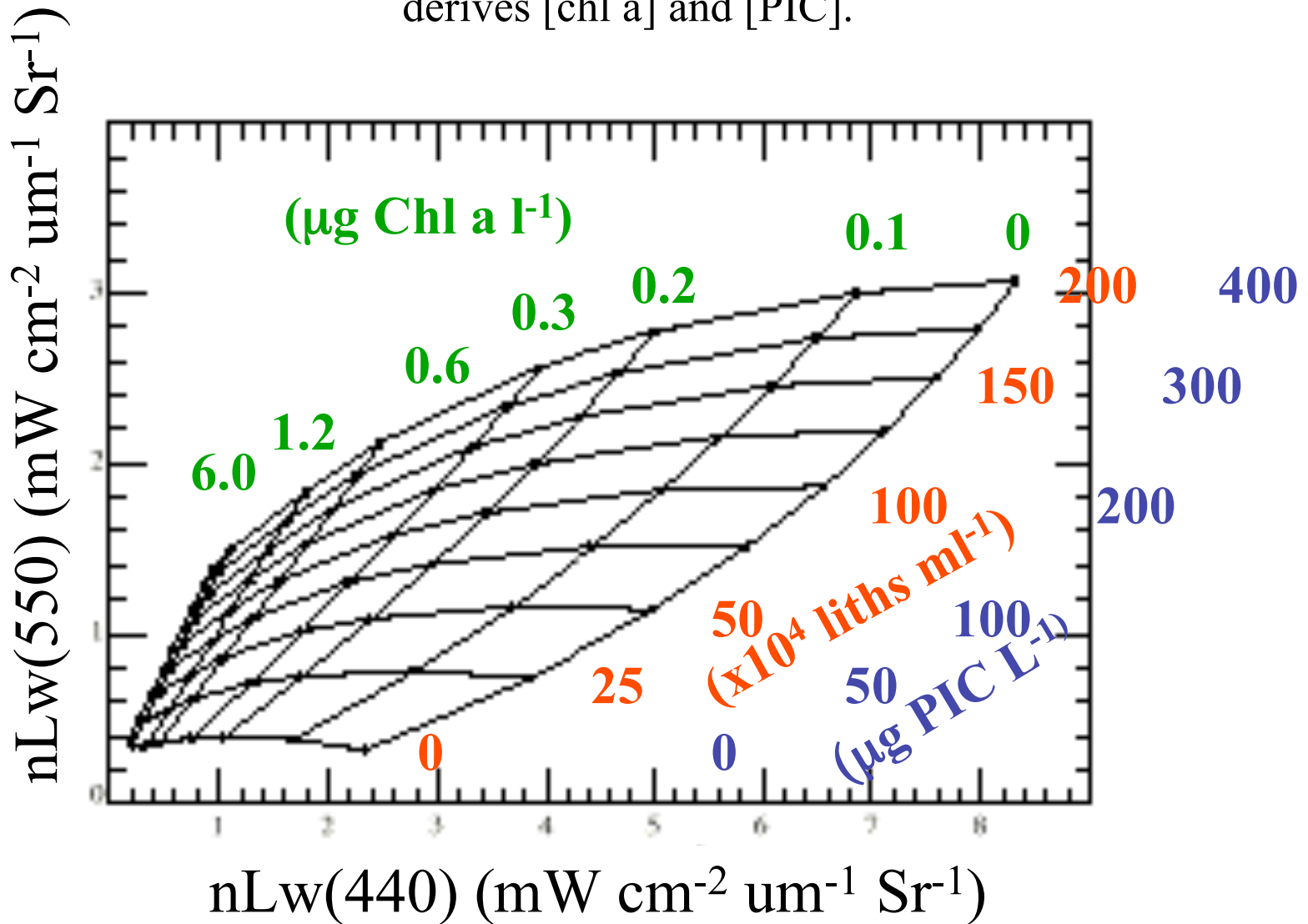
- Measure particulate backscattering ( $b_{bp}$ ) in raw seawater =  $b_{bp \text{ raw}}$
- Reduce pH below dissociation point for calcite and aragonite (i.e. dissolve  $\text{CaCO}_3$ )
- Re-measure  $b_{bp} = b_{bp \text{ acid}}$
- $b_{bp}' = b_{bp \text{ raw}} - b_{bp \text{ acid}}$
- $\text{PIC} [\text{mol PIC}(\text{m}^{-3})] = b_{bp}' / b_b^* [\text{m}^{-1} / (\text{m}^2 \text{ mol PIC})^{-1}]$
- Good for ship surveys, resolution 1 sample/km

On average, total  $b_b'$  is well-correlated to  
PIC above a  $b_b'$  of  $\sim 3 \times 10^{-5} \text{ m}^{-1}$

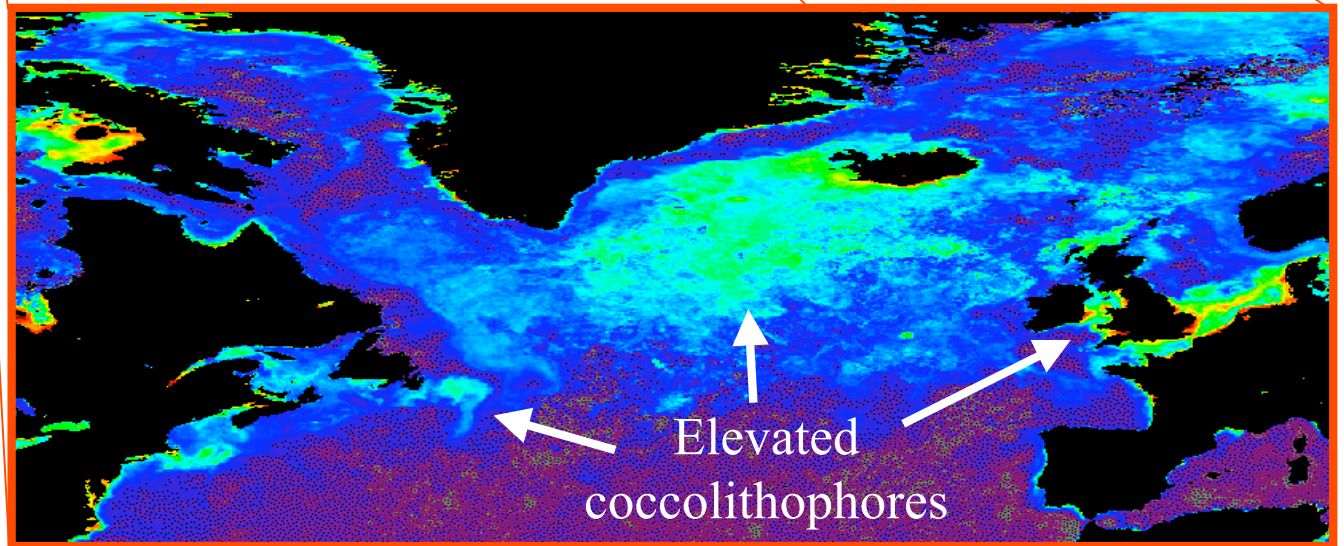
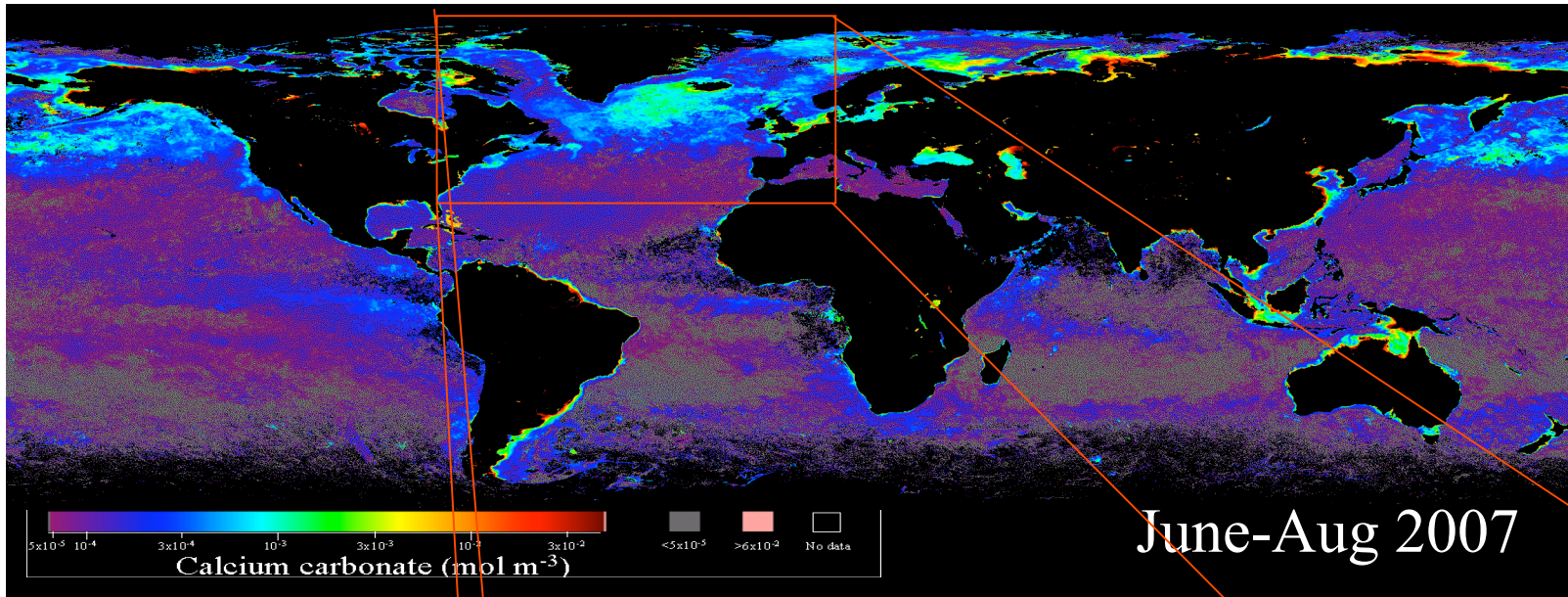


# Two-band PIC algorithm

Uses absolute values of  $nLw$  (not ratios). Iteratively solves for  $b_{bp\ nonPIC}$ ,  $b_{bp\ PIC}$  and derives  $[chl\ a]$  and  $[PIC]$ .

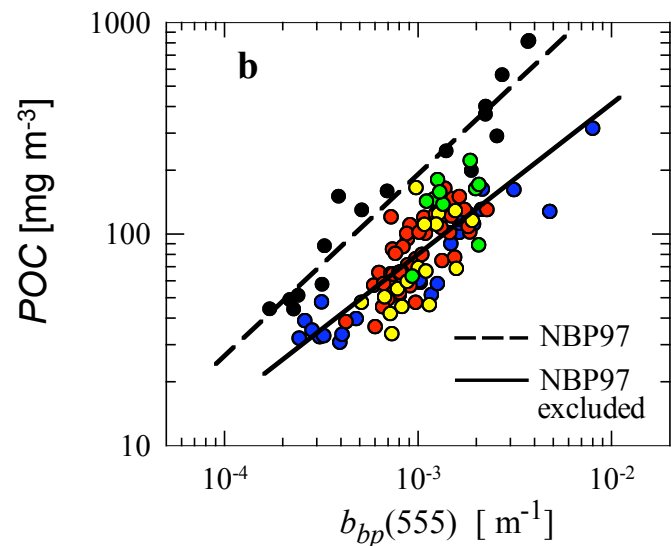
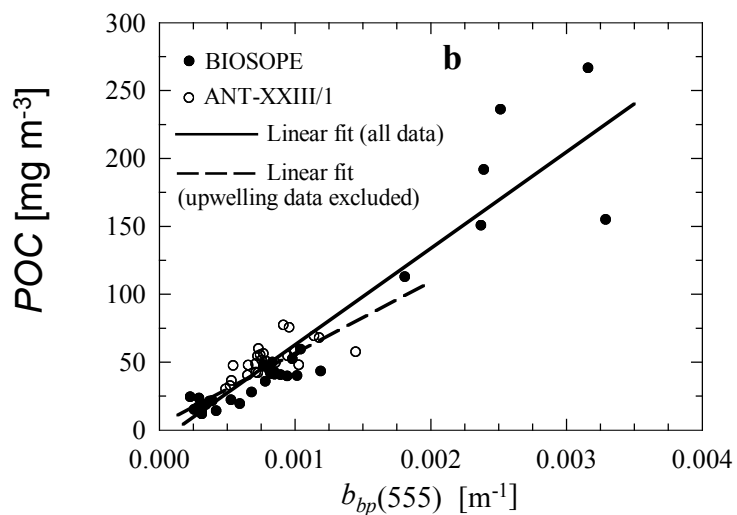
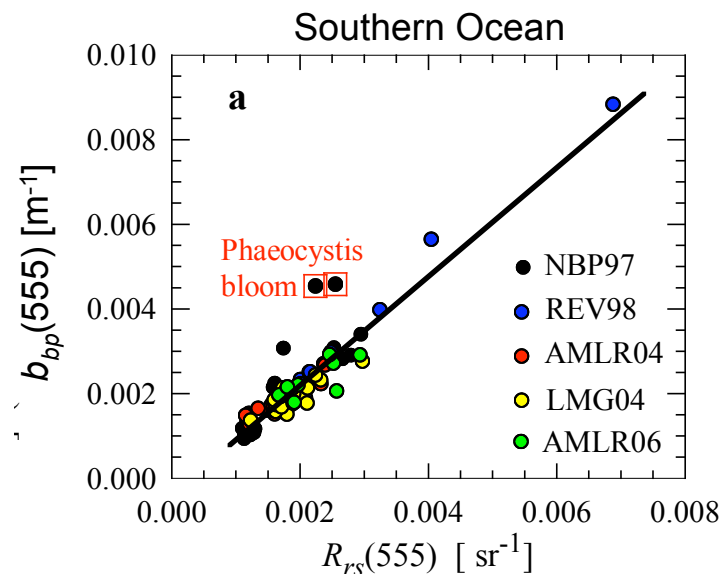
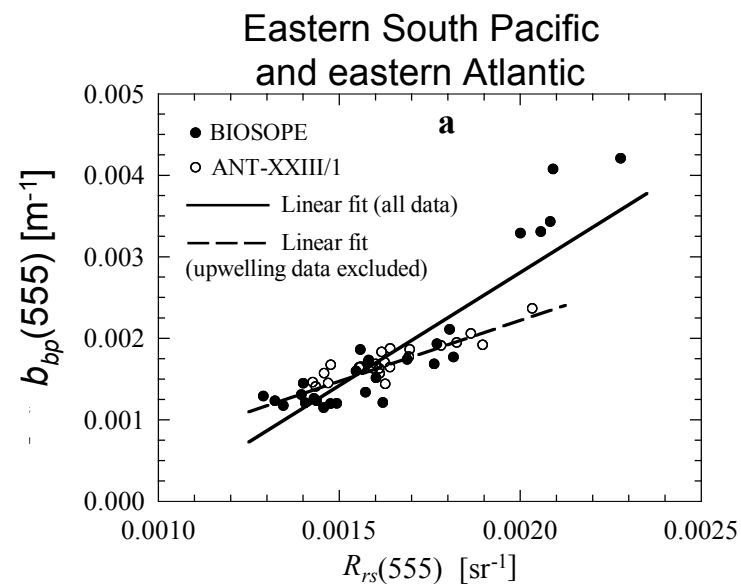


# Global calcite: MODIS Aqua



# Two-step single-wavelength POC algorithm

$$R_{rs}(555) \text{ ---> } b_{bp}(555) \quad b_{bp}(555) \text{ ---> POC}$$



Stramski, Reynolds, Babin et al.  
*Biogeosciences*, 5, 171-201 (2008)

Allison, Stramski, and Mitchell  
*Journal of Geophysical Research*, submitted (2009)

# Retrieving Backscatter with Semi-Analytical Algorithms

- Semi-analytical algorithms

Theoretically based with some empirical results

Optimized using a global optical data set

- Garver-Siegel-Maritorena (GSM01)

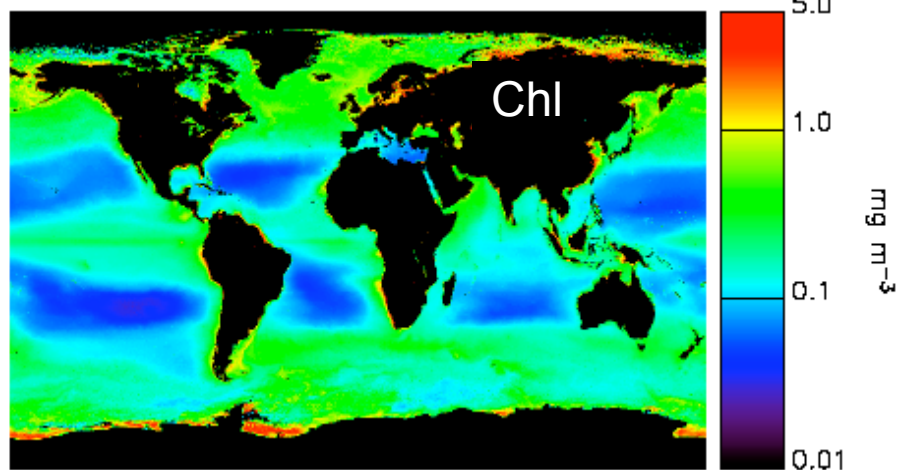
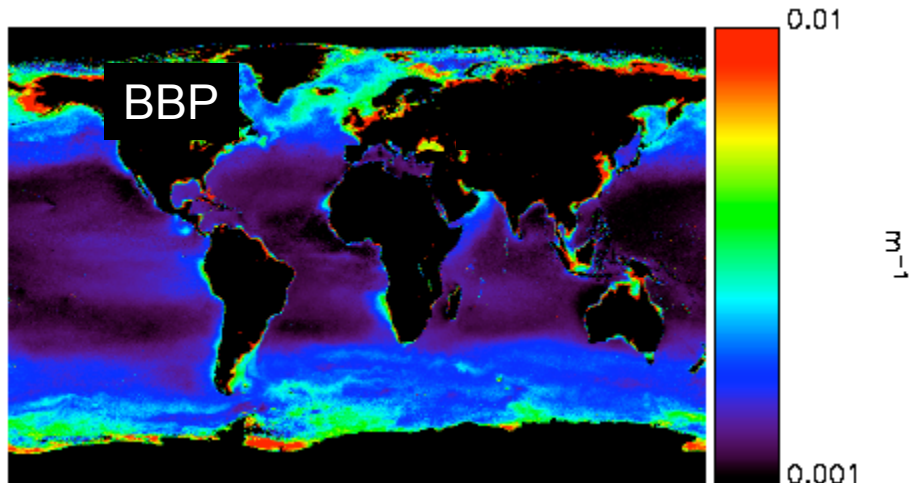
Maritorena et al., 2002: *Applied Optics*

Output = Chl, CDM ( $=a_g(443)+a_{det}(443)$ ) & **BBP** ( $b_{bp}(443)$ )

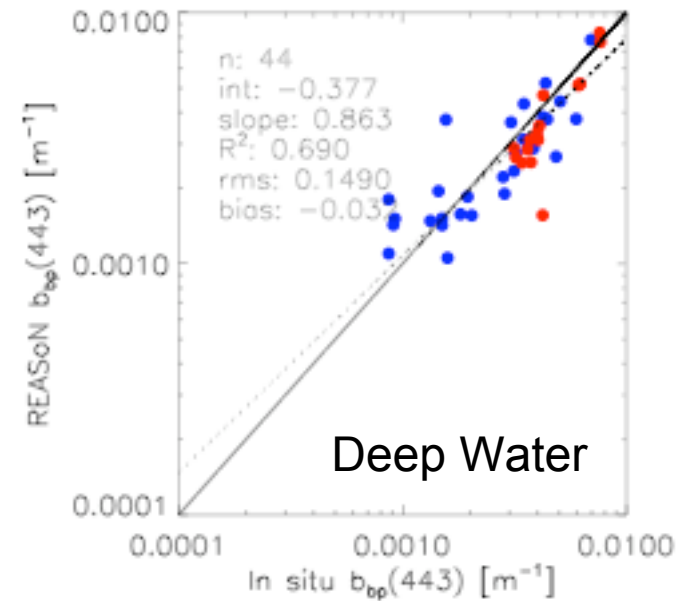
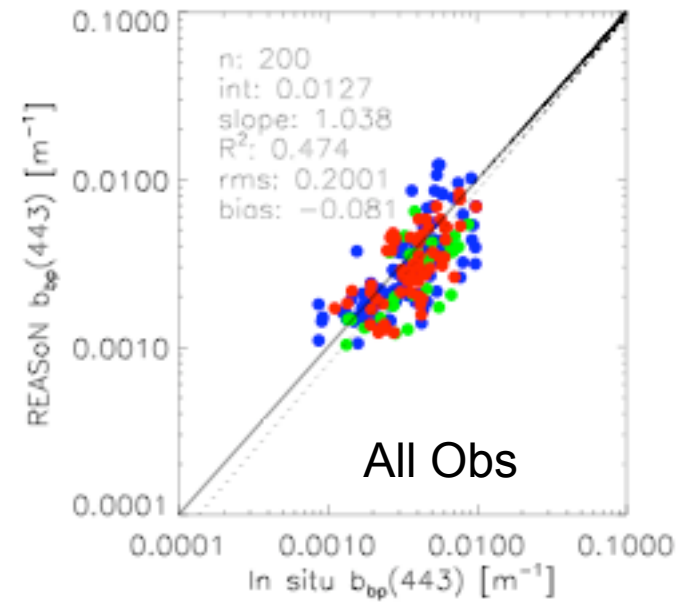
Input =  $L_{wN}(\lambda)$  for  $\lambda$  ranging from 412 to 670 nm

Data: <http://wiki.icess.ucsb.edu/measures>

# GSM01 BBP



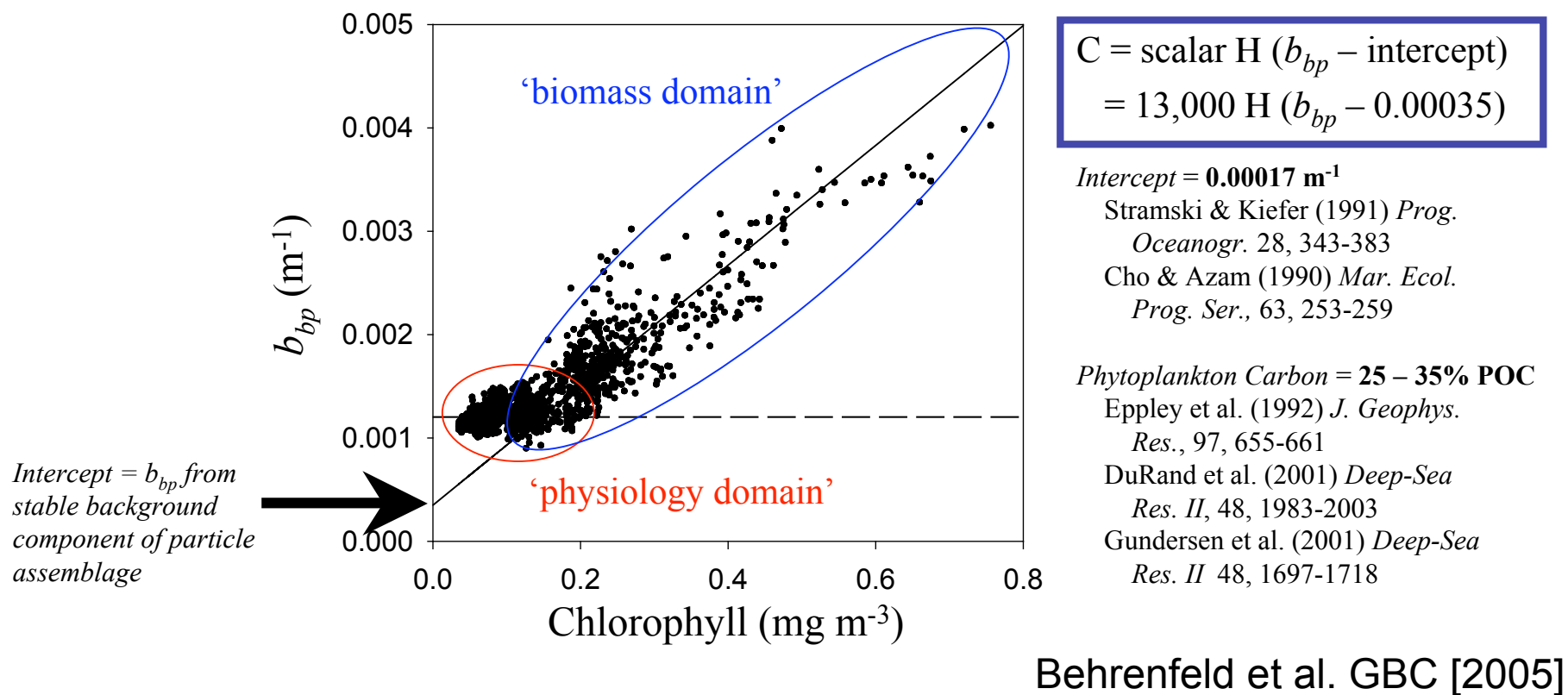
Siegel et al. (2005) JGR



*Very little  $b_b(\lambda)$  validation data are available*

Maritorena et al. [in prep.]

# Estimating PhytoC Using BBP



*Virtually no PhytoC observations are available*

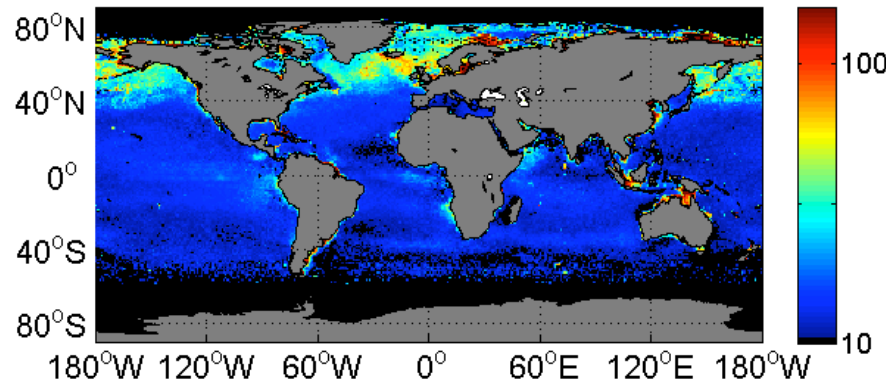
*Some anecdotal evidence from SERIES Fe addition - Schultz et al. [in review]*



# Phyto C & Chl:C from Ocean Color

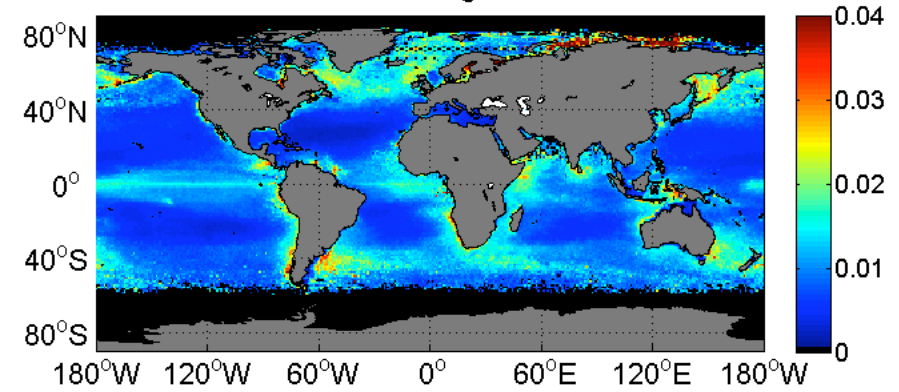
## Phytoplankton Carbon ( $\text{mg m}^{-3}$ )

Jun-Aug

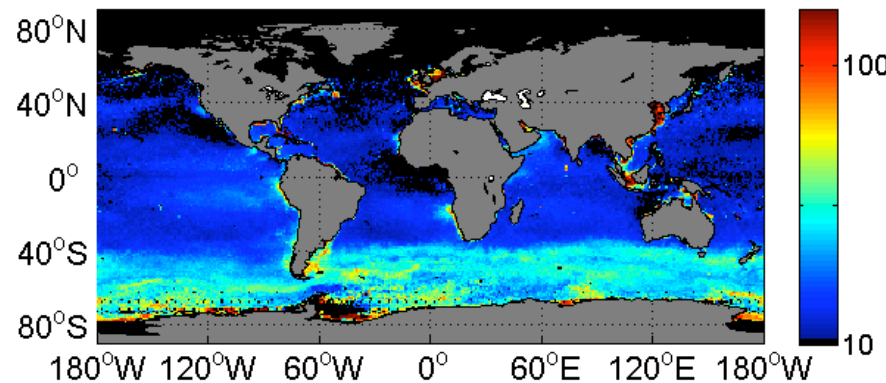


## Phytoplankton Chl:C

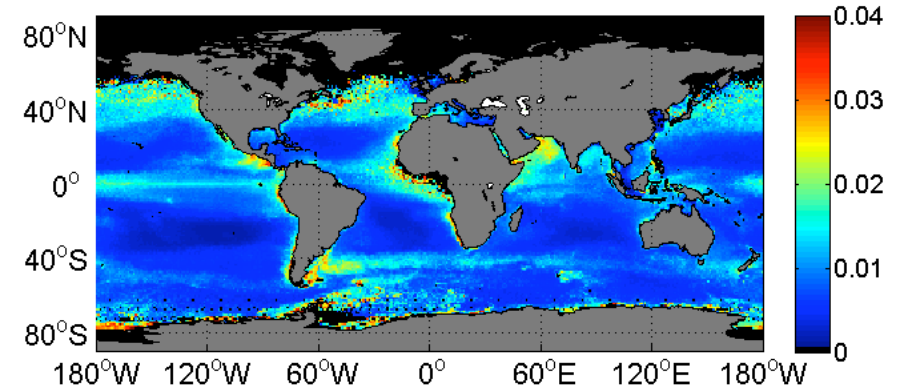
Jun-Aug



Dec-Feb



Dec-Feb

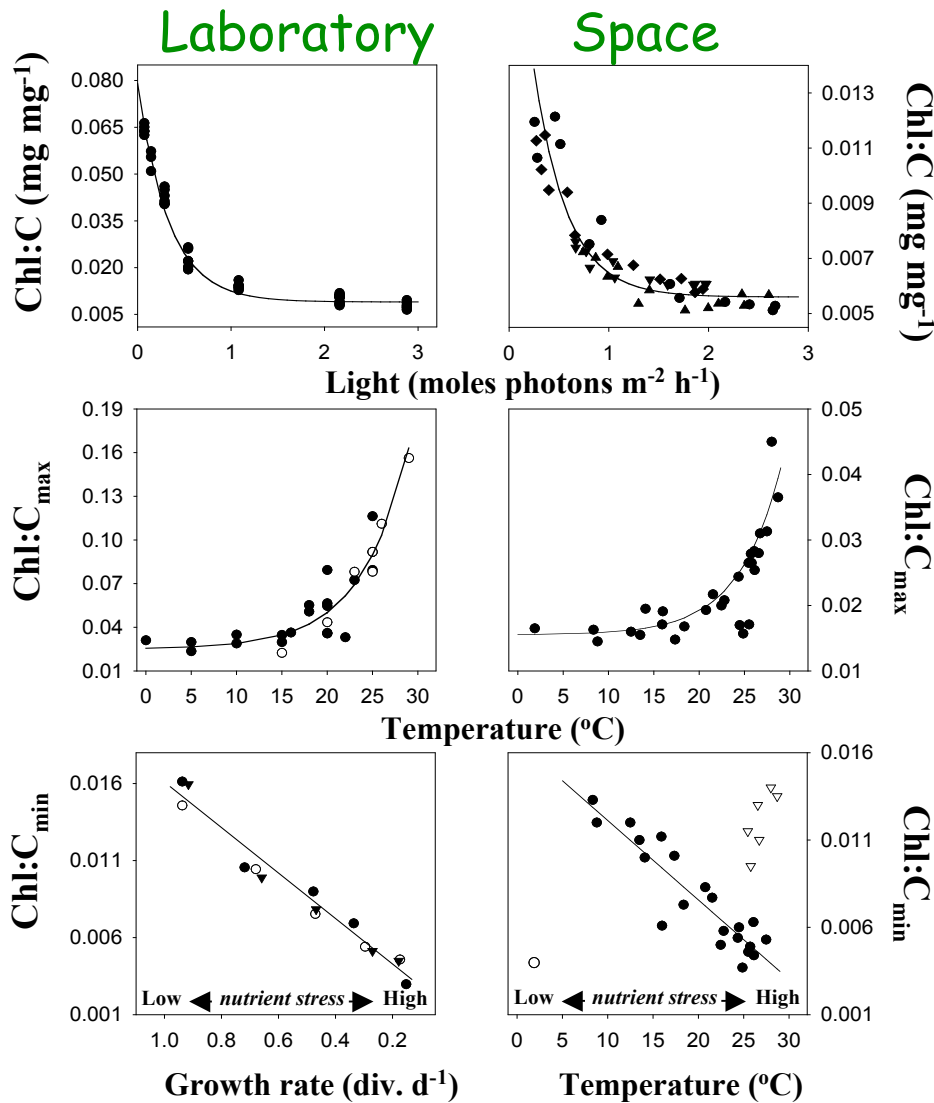
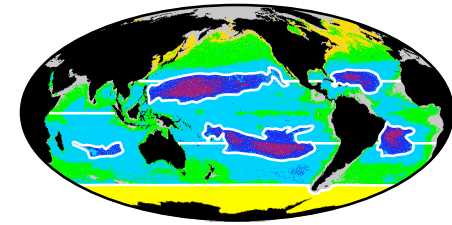


Carbon-based primary productivity modeling  
with vertically resolved photoacclimation

T. Westberry et al.

GLOBAL BIOGEOCHEMICAL CYCLES, VOL. 22, GB2024, doi:10.1029/2007GB003078, 2008

# Chl:C from Ocean Color

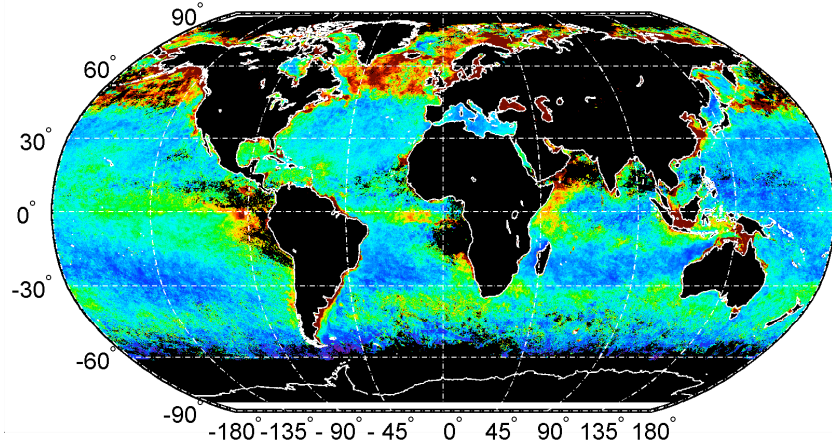


- Observed Chl:C vs. light relations are consistent with laboratory results
- Max & min Chl:C follow laboratory expectations as well
- Supports the use of BBP changes as a proxy for PhytoC changes

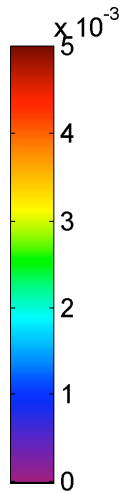
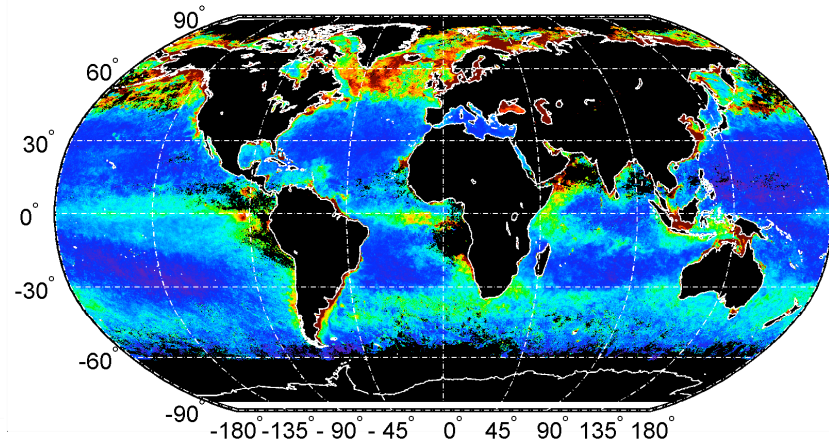
Behrenfeld et al. [2005]

# Retrieving Backscatter Spectrum

A) August 2007  $b_{bp}$  at 440 nm,  $m^{-1}$



B) August 2007  $b_{bp}$  at 550 nm,  $m^{-1}$

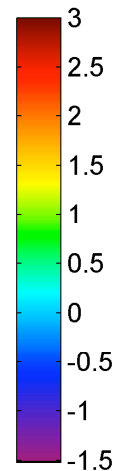
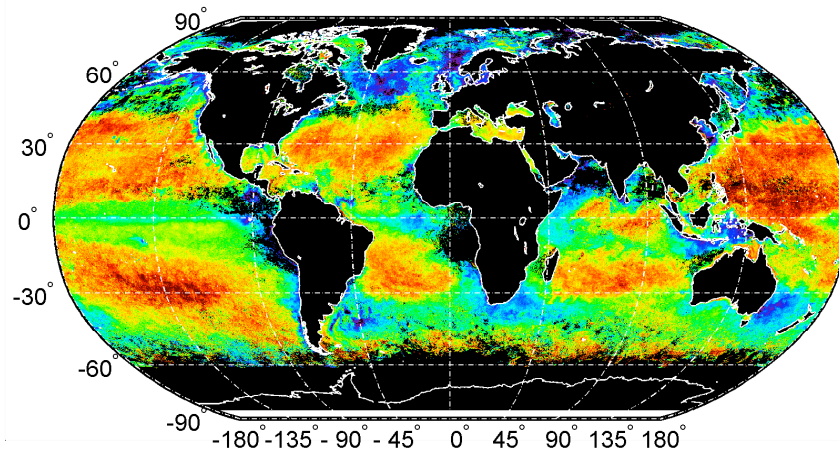


Loisel & Stramski [2000] is used to retrieve  $b_{bp}(\lambda)$

Estimate spectral slope,  $\eta$ , from  $b_{bp}(\lambda) = b_{bp}(\lambda_0) (\lambda/\lambda_0)^{-\eta}$

After Loisel et al. [2006]

A) August 2007  $\eta$



# Linking Particles & Backscattering

$$b_{bp}(\lambda) = \int_{D_{\min}}^{D_{\max}} \frac{\pi D^2}{4} Q_{bb}(D, \lambda, m_r) N(D) dD$$

The diagram illustrates the equation for backscattering cross-section  $b_{bp}(\lambda)$ . The equation is enclosed in a red rectangular box. Three terms within the equation are circled:  $\frac{\pi D^2}{4}$  is circled in cyan and labeled 'Cross-Section Area' in a cyan box;  $Q_{bb}(D, \lambda, m_r)$  is circled in blue and labeled 'Backscattering Efficiency' in a blue box; and  $N(D)$  is circled in blue and labeled 'Particle Size Distribution' in a blue box. Arrows point from each circle to its respective label box.

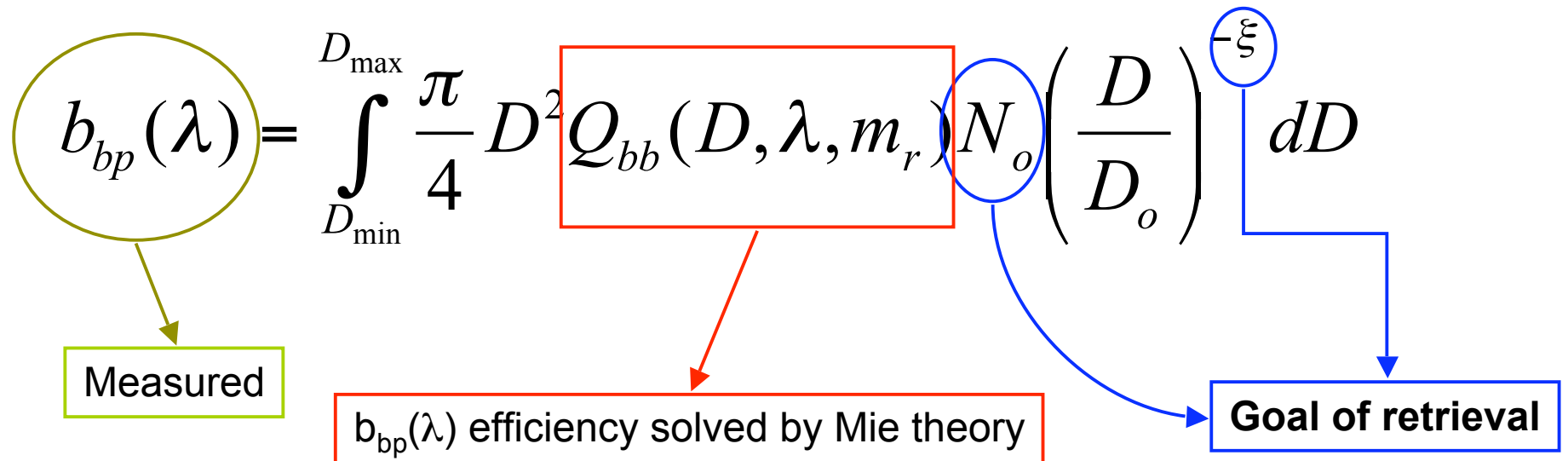
- Important goal is to retrieve  $N(D)$ , the PSD
- The *normal* assumptions are likely to be poor

$Q_{bb}(\dots)$  from Mie theory for homogeneous spheres

$N(D)$  following power-law formulation ( $N_0 (D/D_0)^{-\xi}$ )

# Retrieving the PSD

Let's see what we can do with the *normal* assumptions



*By measuring  $b_{bp}(\lambda)$  for more than 2  $\lambda$ 's, we can retrieve  $N_o$  &  $\xi$*

# Algorithm Scheme

Input satellite LwNs

Retrieve  $b_{bp}(\lambda)$  via Loisel et al. (2006)

Calculate spectral slope of the  $b_{bp}(\lambda) = \eta$   
using 490, 510, 550 nm

Use the LUTs and maps of  $\eta$  &  
 $b_{bp}(440)$  to calculate...

Slope of the PSD,  $\xi$   
Scaling particle abundance,  $N_o$

Calculate derived products:

- 1) Total and size fractionated particle volumes
- 2) Cell numbers in different size classes

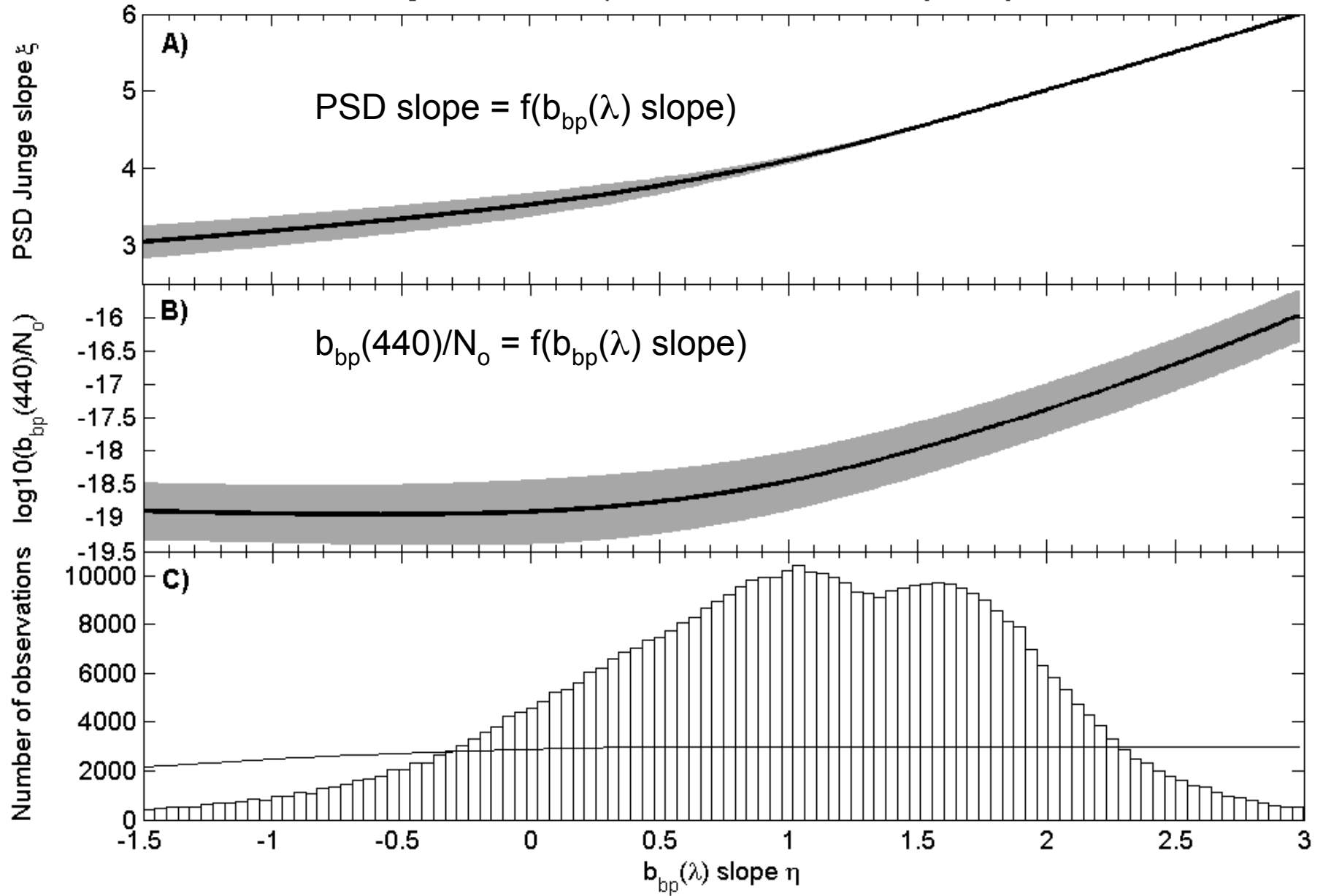
**Input Mie model parameters for realistic open ocean:**

1.  $\xi = 2.5:0.05:6$
2.  $n = \text{RANDN}(1.05, 0.05)$
3.  $k = \text{ABS}(\text{RANDN}(0, 0.00075))$
4.  $D_{\min} = 0.002 \mu\text{m}$
5.  $D_{\max} = \text{RAND}(25, 100) \mu\text{m}$

**Create two LUTs:**

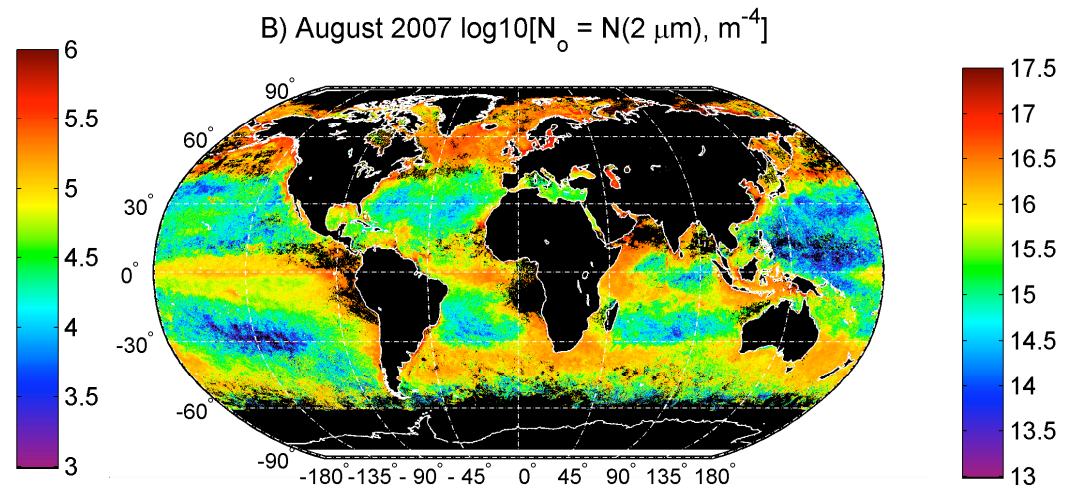
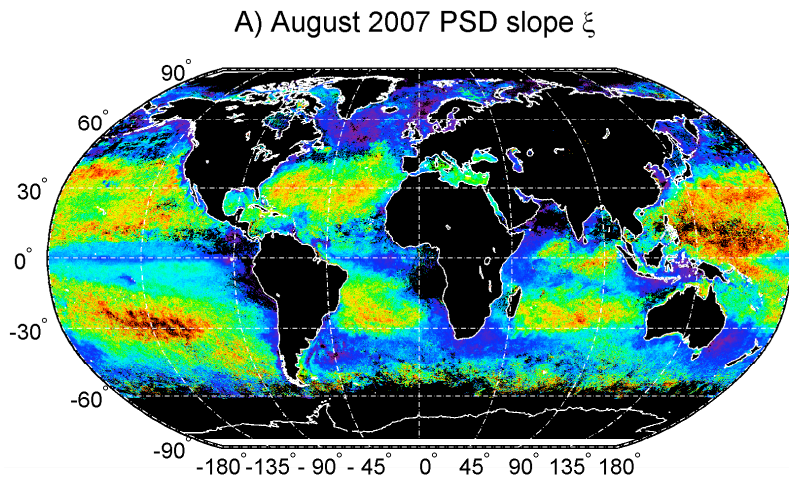
- $\xi = f(\eta)$
- $b_{bp}(440)/N_o = g(\eta)$   
(w/ uncertainty bounds)

# Algorithm Look-up Tables and Uncertainty Analysis



Kostadinov et al. [2009]

# Power Law PSD Parameters



$$N(D) = N_o (D/D_o)^{-\xi}$$

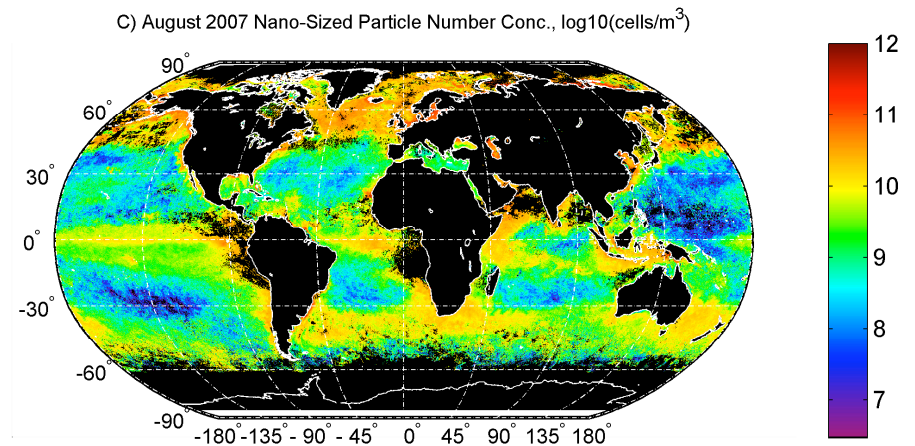
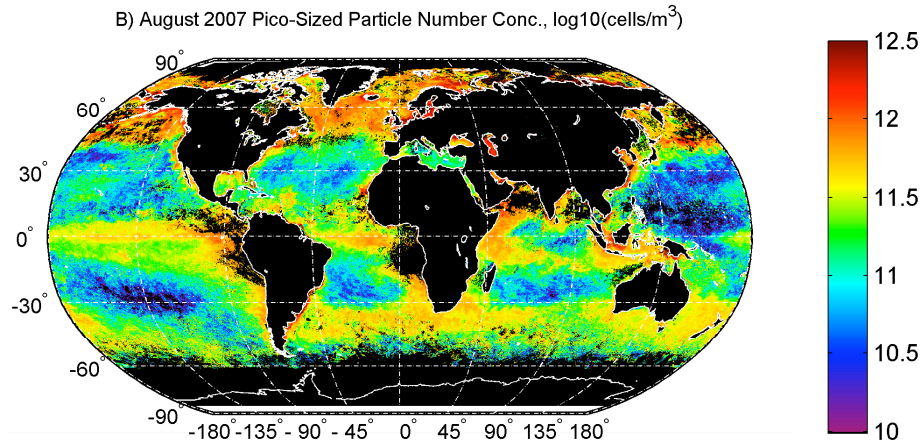
- High slopes ( $\xi$ ) in oligotrophic water - low in eutrophic oceans
- High scaling abundances ( $N_o$ ) in productive waters - low in oligotrophic oceans
- Rough consistency with available Coulter PSD obs ( $N < 25$ !!)



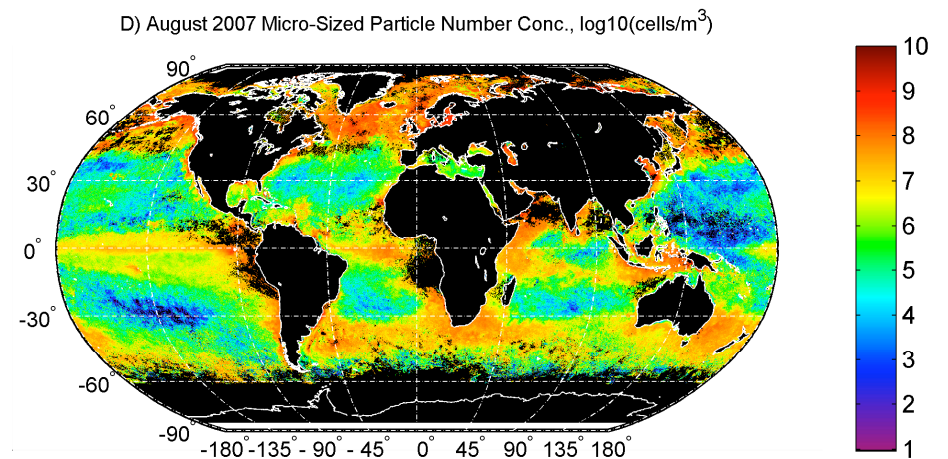
# Partitioning Number Concentration

Pico-particles (0.5  $\mu\text{m}$  to 2  $\mu\text{m}$ )

Nano-particles (2  $\mu\text{m}$  to 20  $\mu\text{m}$ )



Micro-particles (20  $\mu\text{m}$  to 50  $\mu\text{m}$ )



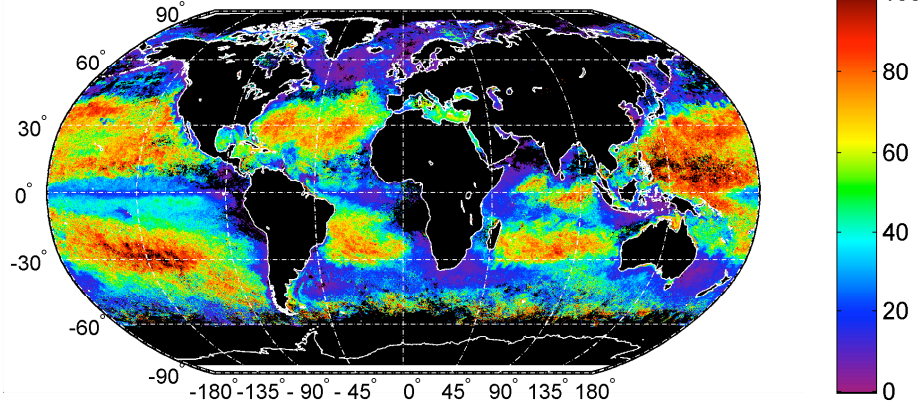
Pico's vary  $\sim 100$  times  
Nano's vary  $\sim 10,000$  times  
Micro's vary  $\sim 10^6$  times  
Ecologically consistent...

Kostadinov et al. [2009]

# Partitioning Particle Volumes

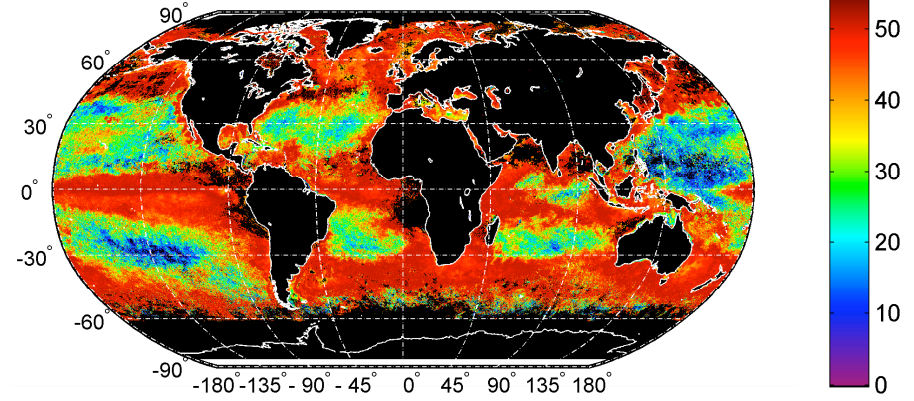
% Pico's (0.5  $\mu\text{m}$  to 2  $\mu\text{m}$ )

B) August 2007 Pico-Sized Percent Volume



% Nano's (2  $\mu\text{m}$  to 20  $\mu\text{m}$ )

C) August 2007 Nano-Sized Percent Volume



Pico's dominate oligotrophic

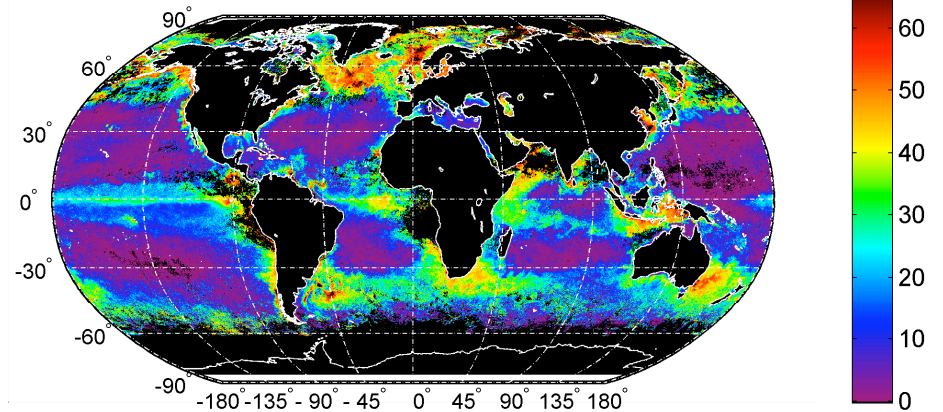
ocean (>80%)

Nano's in transition regions (45%)

Micro's only found in upwelling zones & high latitudes (<40%)

% Micro's (20  $\mu\text{m}$  to 50  $\mu\text{m}$ )

D) August 2007 Micro-Sized Percent Volume



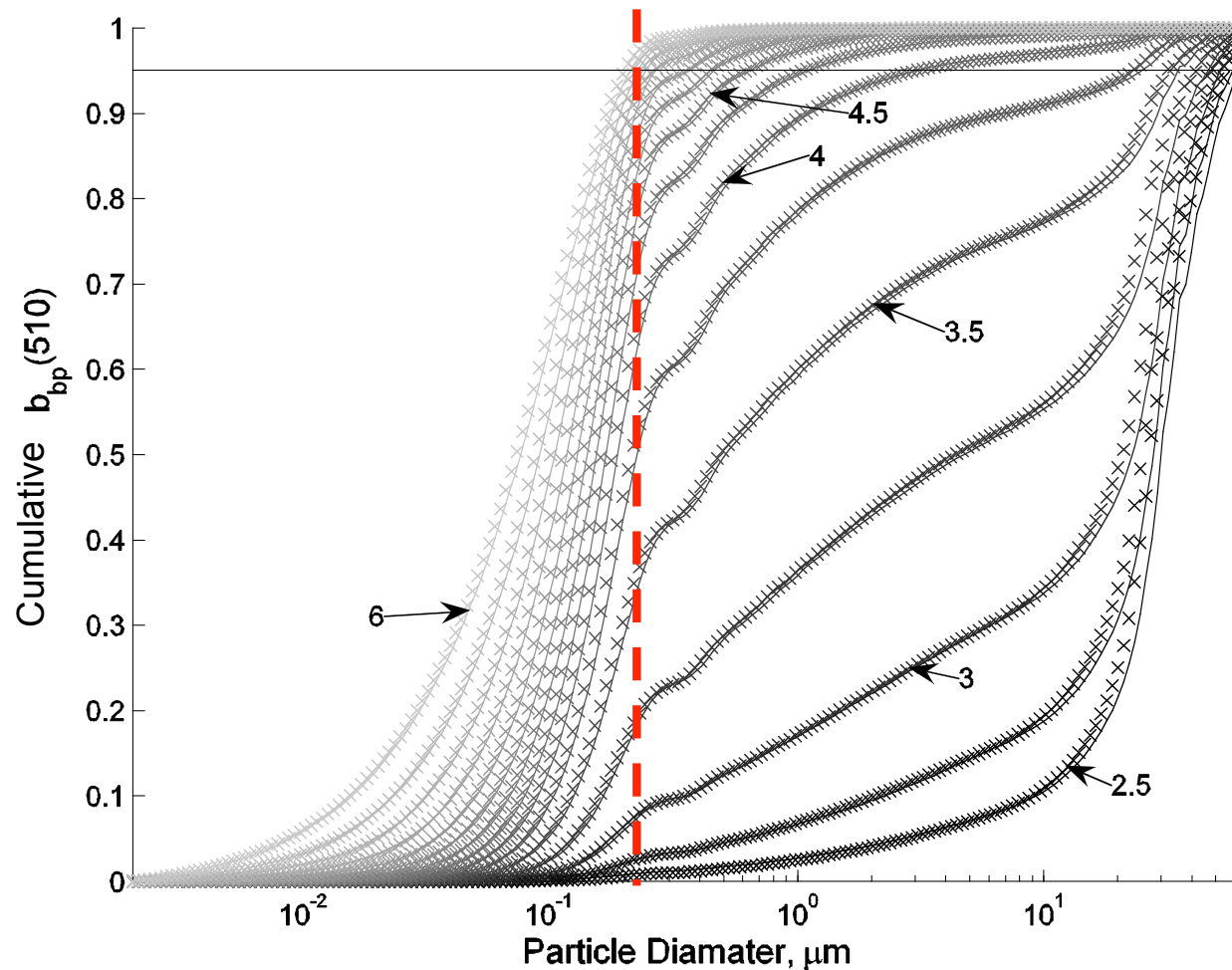
Kostadinov et al. [2009]

# Linking Particles & Backscattering

$$b_{bp}(\lambda) = \int_{D_{\min}}^{D_{\max}} \frac{\pi D^2}{4} Q_{bb}(D, \lambda, m_r) N(D) dD$$

- We know the usual assumptions are poor
  - $Q_{bb}(\dots)$  from Mie theory for homogeneous sphere
  - $N(D)$  following power-law ( $= N_0 (D/D_0)^{-\xi}$ )
  - $D_{\max}$  also can be important (for low  $\xi$ )
- Let's examine implications of these assumptions...

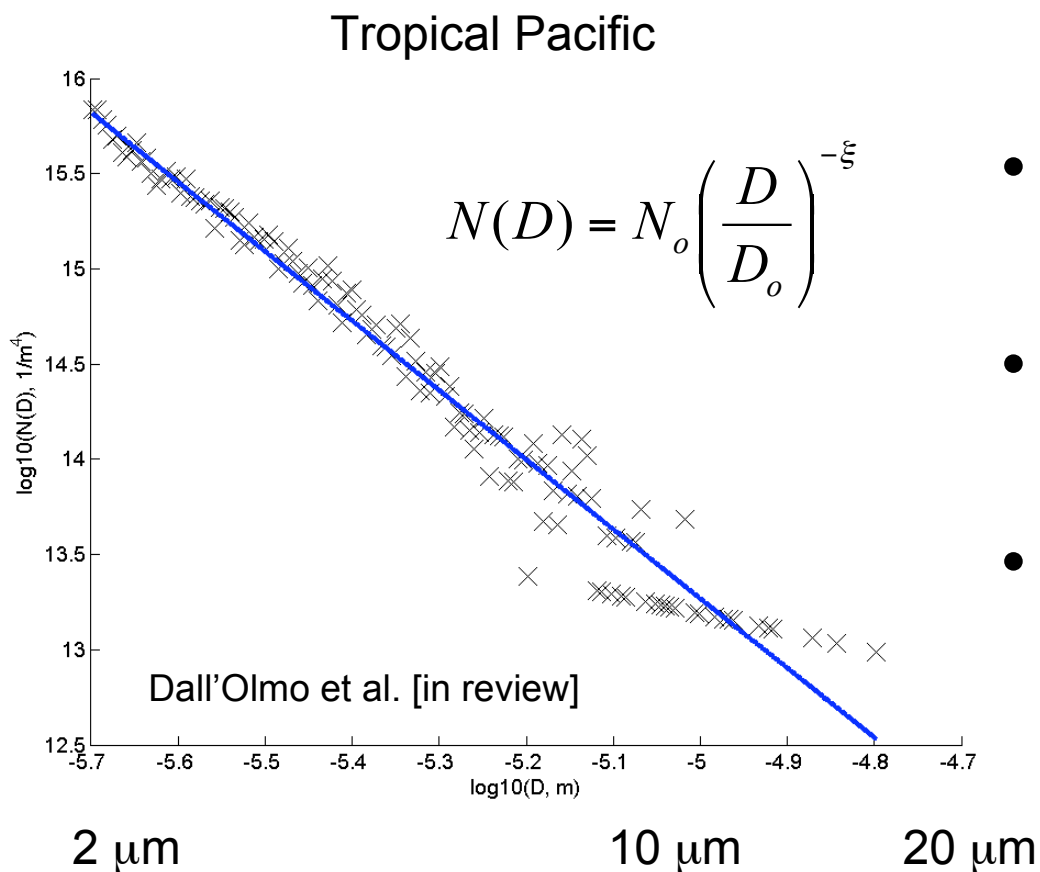
# Implications of Mie & Power-Law PSD



After Stramski & Kiefer [1991]  
and many others...

*Particles less than  $0.2 \mu\text{m}$  dominate  $b_{bp}(510)$  when assumptions of homogenous spheres & power-law  $N(D)$  are applied*

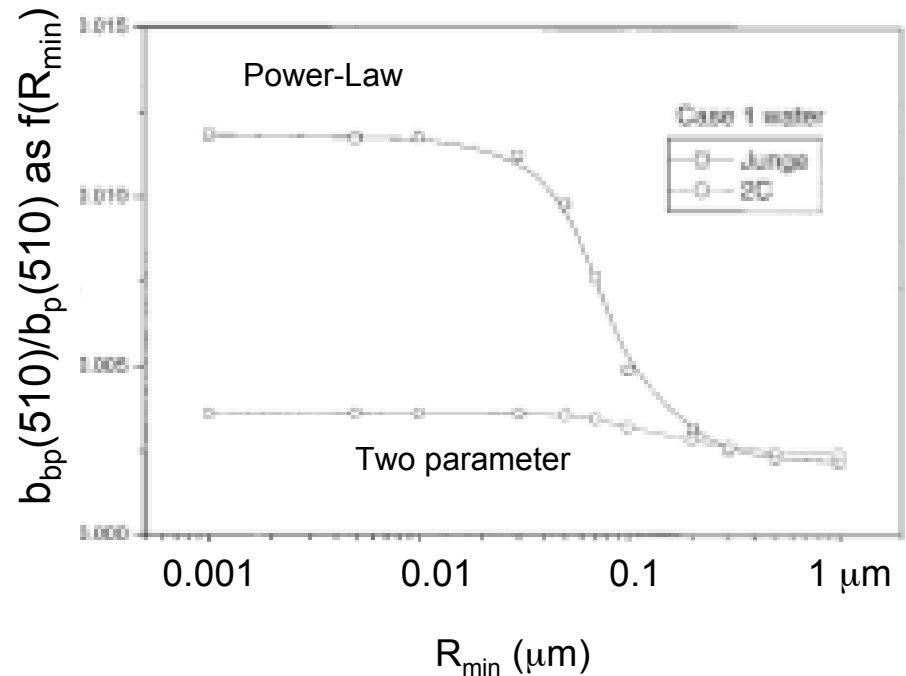
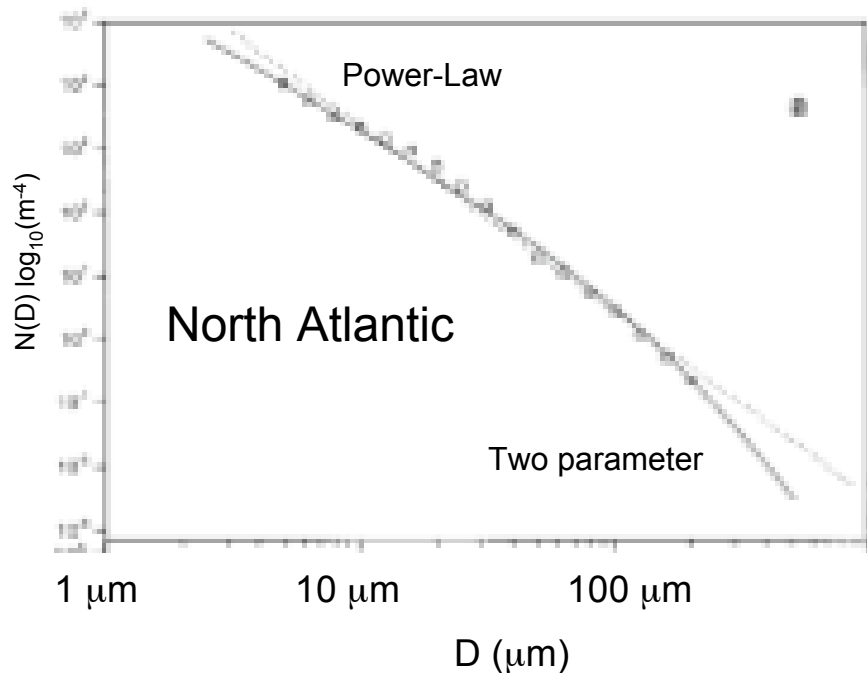
# Typical PSD Observations



- Coulter PSD obs from tropical Pacific
- Power-law PSD “fits” observations
- Particle diameters range from 2 to 20  $\mu\text{m}$
- Not in the “optically relevant” range

*We do not measure the right range of scales (supposedly)*

# Is it the Power-Law PSD Assumption?



PSD observation from open North Atlantic

Fit using power-law & two parameter PSD models

Mie is used to calculate backscattering ratio as  $f(R_{min})$

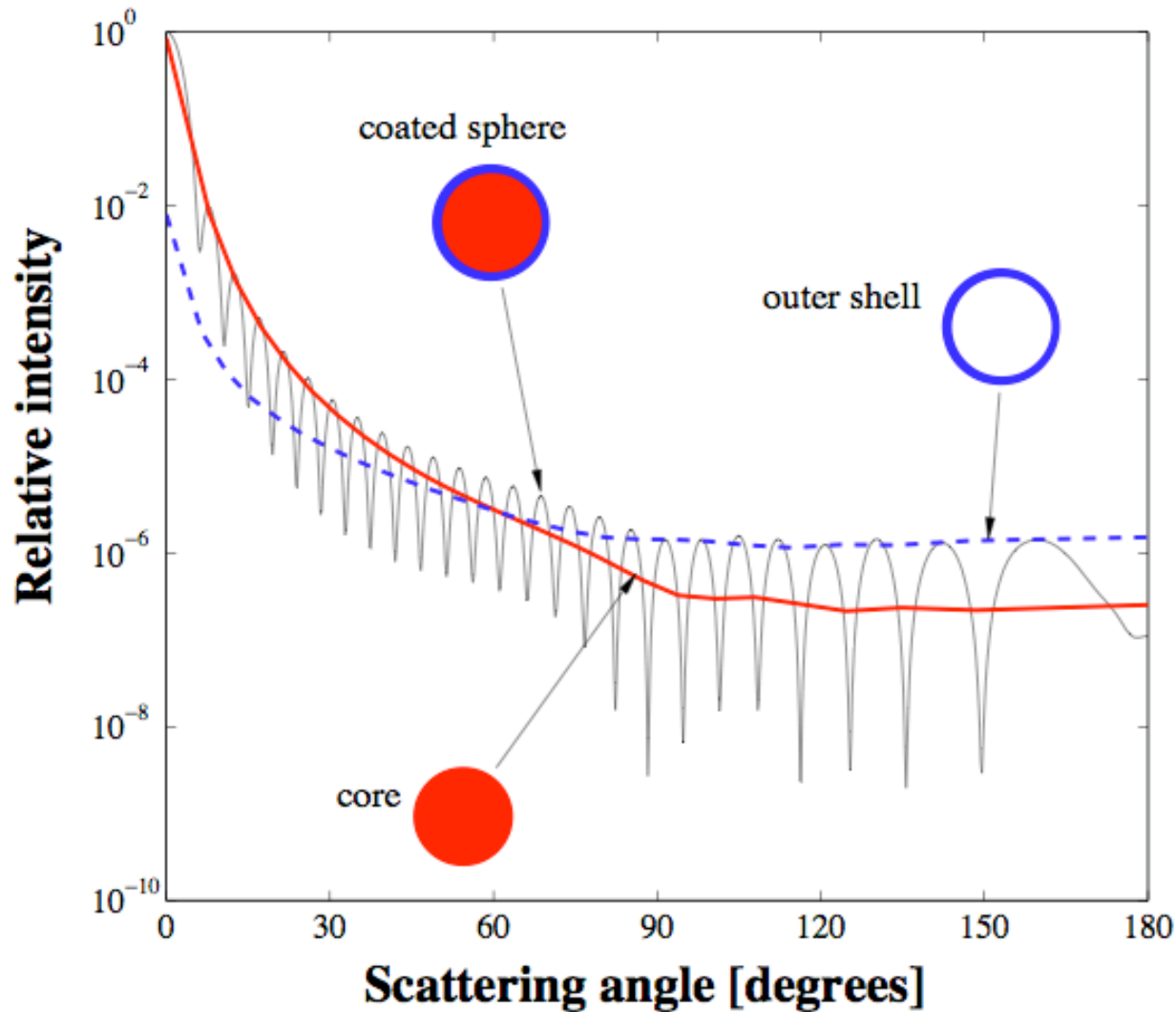
For power-law  $N(D)$ , strong influence on  $R_{min}$  at  $\sim 0.07 \mu m$

*Matters how  $N(D)$  is modeled...*

Risovic [2002] *Applied Optics*

# Is it the Mie Theory Assumptions?

Mie model of coated sphere (Meyer, Applied Optics, 1979)



Outer shell  $m_r$  is 5x  
core  $m_r$

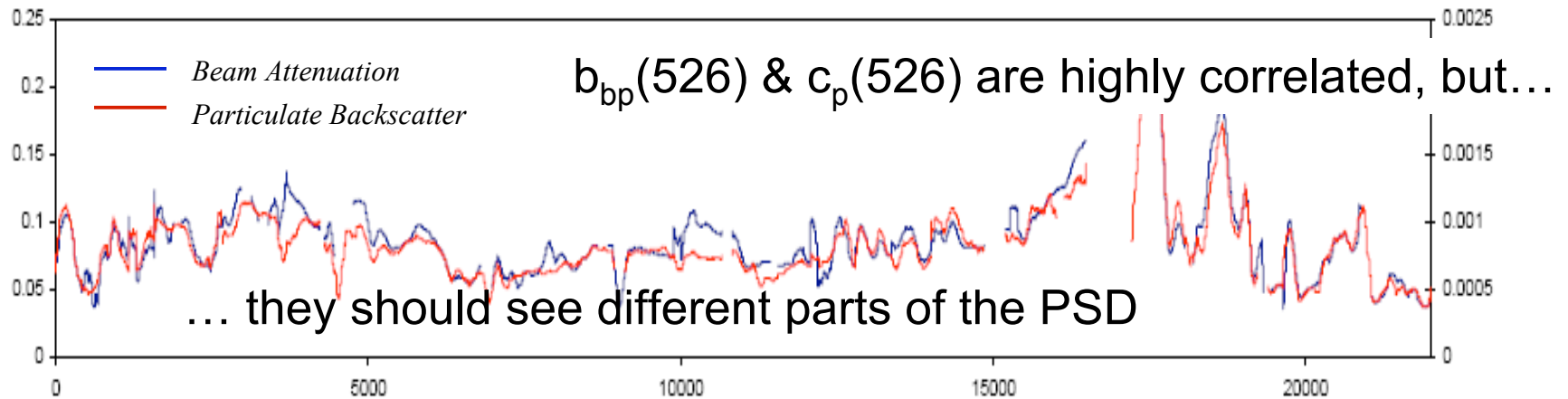
Forward scatter  
goes as core  $m_r$

Backscatter follows  
shell  $m_r$

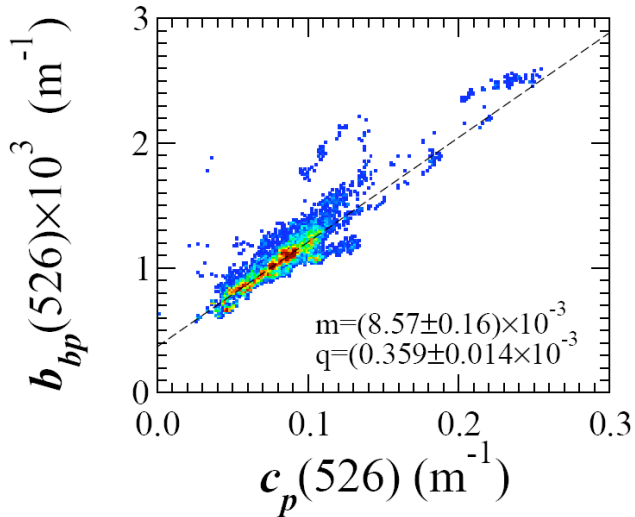
Still, highly idealized  
Nonsphericity...

*Matters how  $Q_{bb}(\dots)$   
is modeled...*

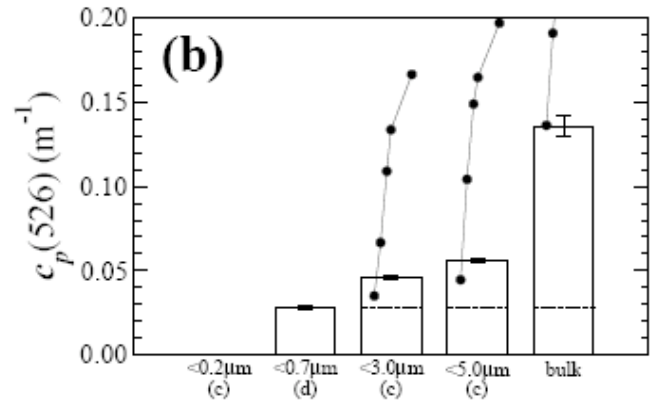
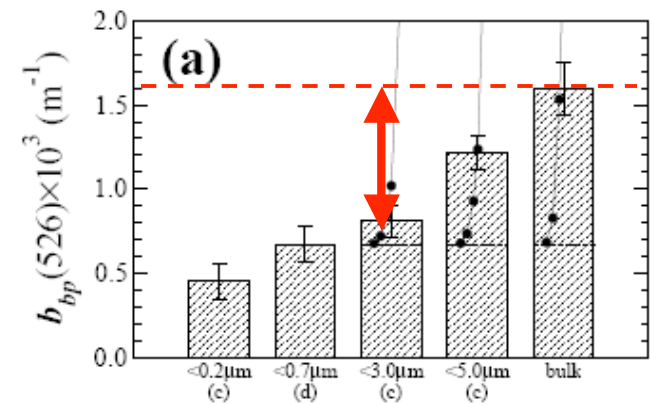
# Observations of $b_{bp}(\lambda)$ & $c_p(\lambda)$ from Tropical Pacific



Sequential Observation



50% of  $b_{bp}(526)$   
 from  $D > 3 \mu\text{m}$



Dall'Olmo et al. [in review] *Biogeosciences Discussion*

*There's a problem here...*



# Linking Particles & Backscattering

$$b_{bp}(\lambda) = \int_{D_{\min}}^{D_{\max}} \frac{\pi D^2}{4} Q_{bb}(D, \lambda, m_r) N(D) dD$$

- Need observational improvements

$N(D)$  for  $D < 2 \mu\text{m}$  (something besides Coulter counters)

General approaches for  $Q_{bb}(\dots)$

- Inverse modeling is one approach

Use field observations of  $c_p(\lambda)$  &  $b_{bp}(\lambda)$  to

constrain general forms for  $N(D)$  &  $Q_{bb}(\dots)$  (&  $Q_c(\dots)$ )

# We Want Carbon Stocks, But...

$$POC = \int_{D_{\min}}^{D_{\max}} \frac{\pi D^3}{6} \rho_c(D, \dots) N(D) dD$$

The diagram shows the equation for POC with two callouts. A teal circle highlights the term  $\rho_c(D, \dots)$ , with a teal arrow pointing to a teal box labeled "Carbon content as f(D)". A blue circle highlights the term  $N(D)$ , with a blue arrow pointing to a blue box labeled "Particle Size Distribution".

Need to know  $\rho_c(D, \dots)$  (Carbon / volume as f(D))

Need to know  $\rho_c(D, \dots)_{ph}$  for PhytoC

$D_{\max}$  &  $D_{\min}$  are both *very* important which will make this approach difficult

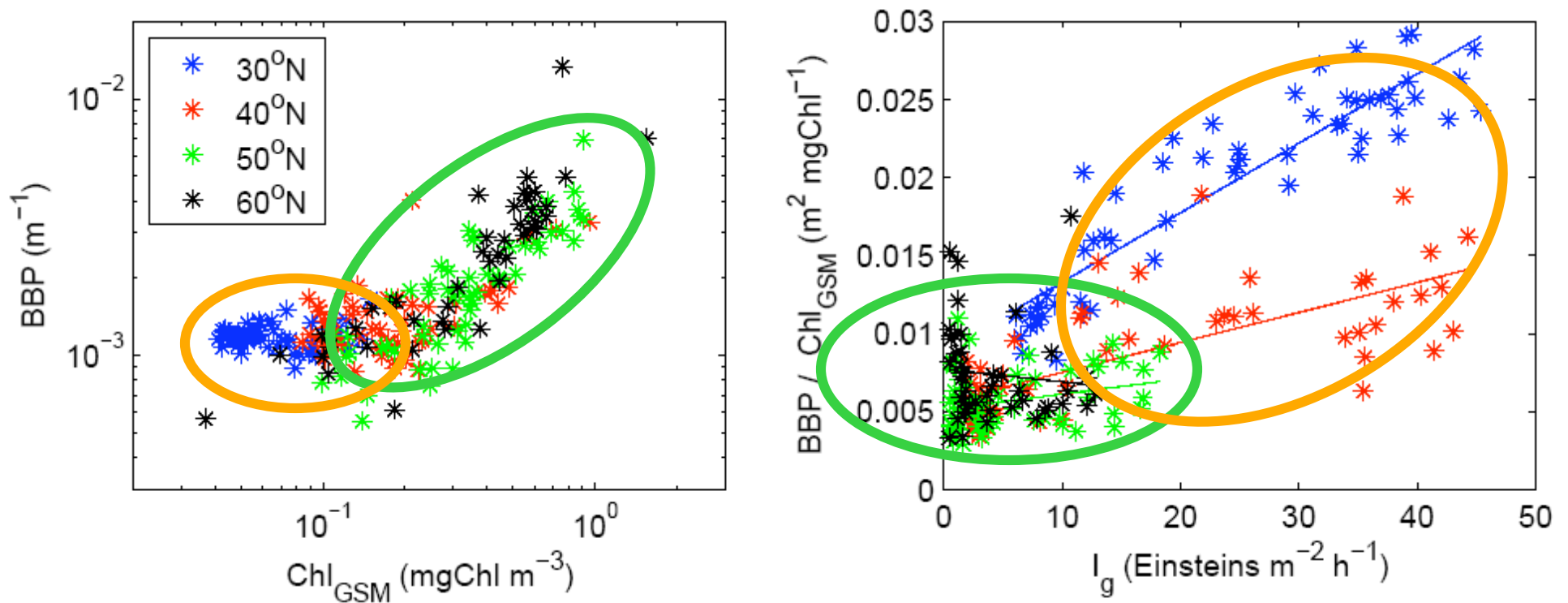
# Take Home Message(s)

- We can do a lot besides chlorophyll
  - Lots of progress - POC, PIC,  $b_{bp}(\lambda)$ , PhytoC & N(D)
  - Need more & better field data (differing biomes, protocols, ...)
- We are *measurement limited*
  - N( $0.2 < D < 2\mu\text{m}$ ), PhytoC,  $\rho_c(D)$ , ...
- We are *model/concept limited*
  - Resolve the size paradigm for  $b_{bp}(\lambda)$
  - How to model  $Q_{bb}(\dots)$ ? (theory will not help much)
  - Inversion of  $b_{bp}(\lambda)$  & N(D) obs to model  $Q_{bb}(\dots)$ ??

Thank You!!



# What about BBP & Chl



Data are from a North Atlantic transect along 30°W

Modes for “growth” ( $f(\text{Chl})$ ) & “photoacclimation” ( $f(I_g)$ )

BBP acts like phytoplankton biomass...

# Improving Phyto C Assessments

Need useful field data!!

Routine protocols for phyto C do not exist

Differentiate autotrophic / heterotrophic / detrital C

Simultaneous optical & particle size observations

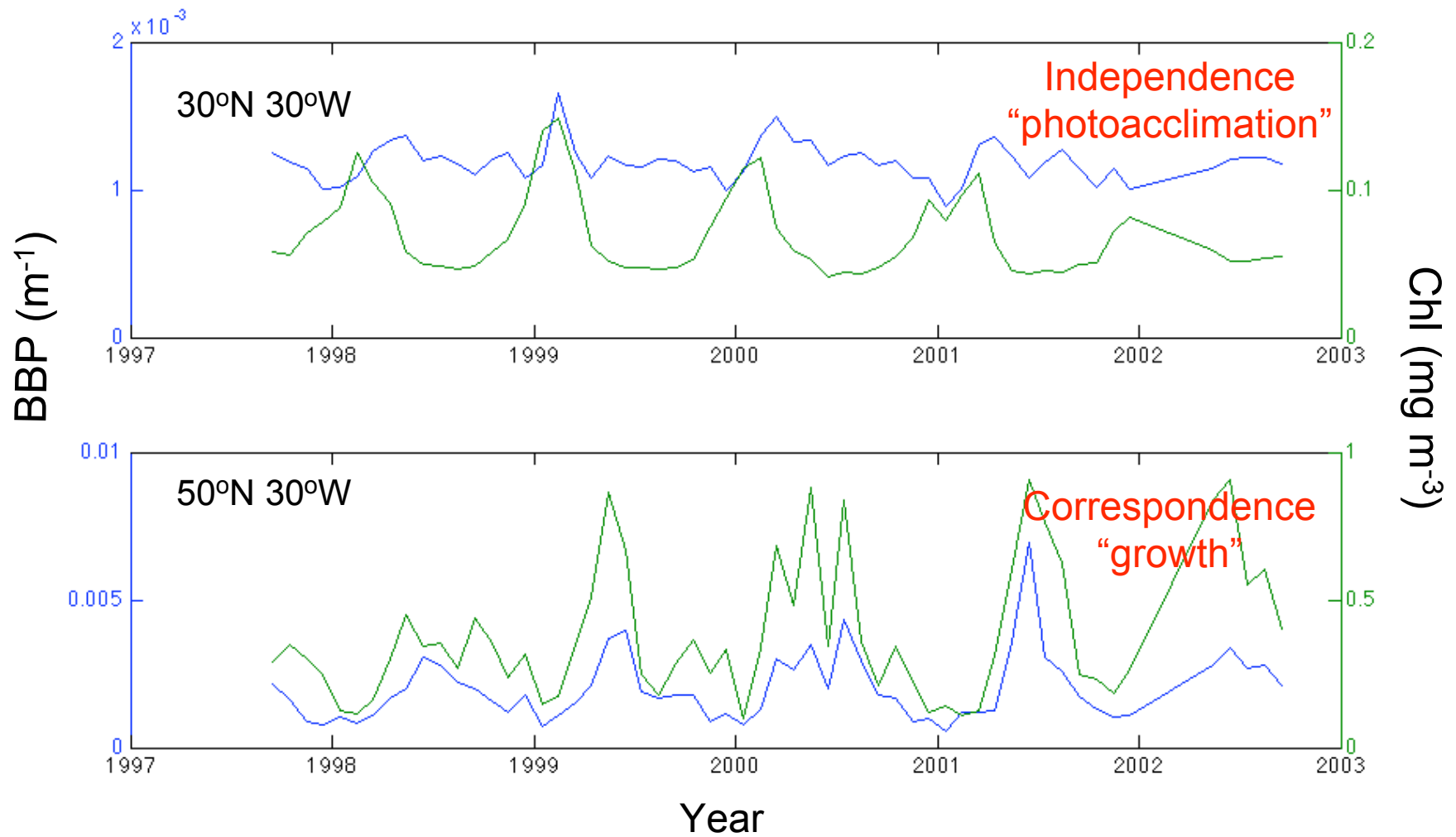
Wide range of biomes (GIGO...)

Improve satellite methodologies

BBP is one way to get at Phyto C (but the linear model?)

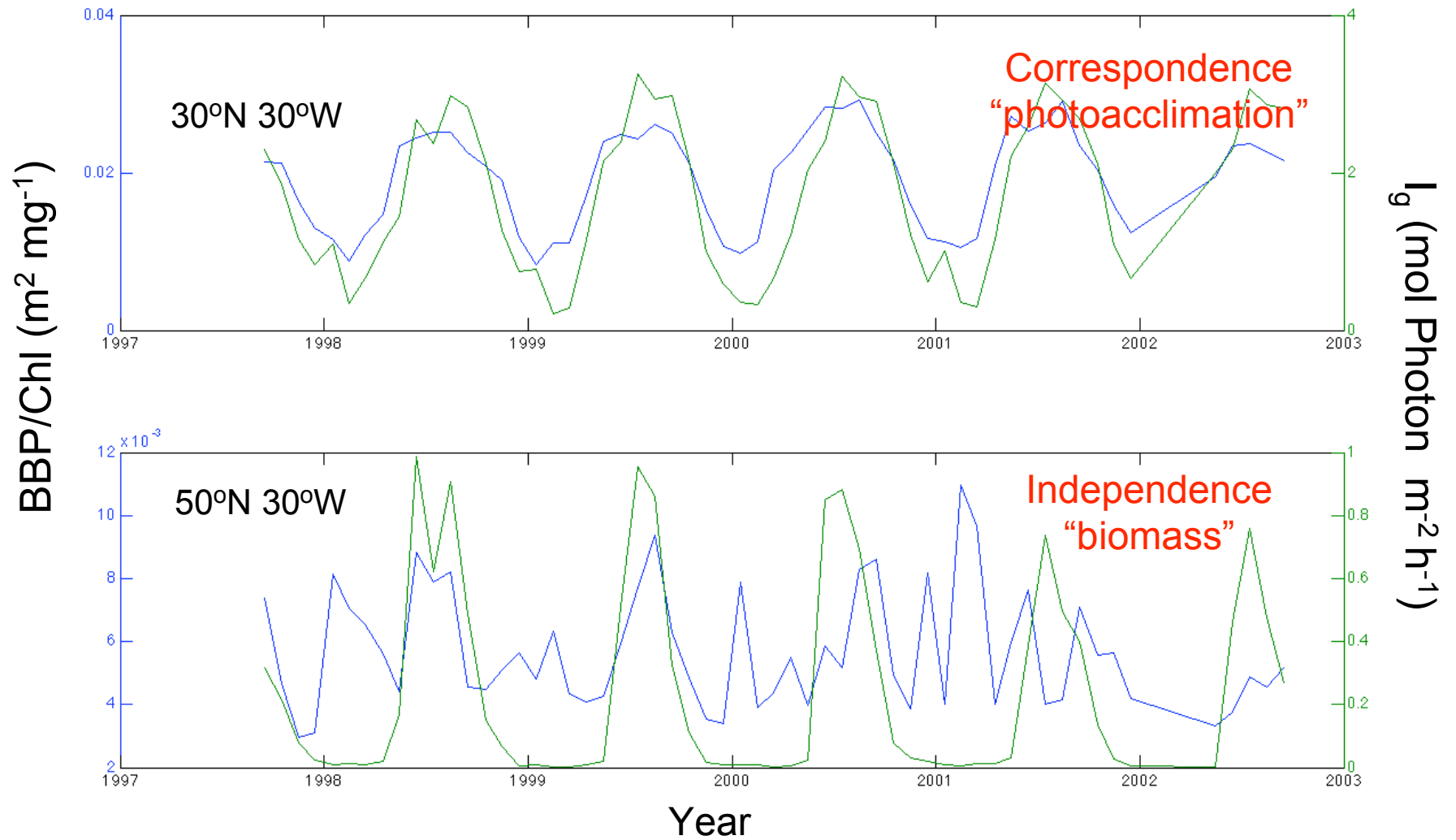
Need to assess  $\rho_C(D)_{ph}$

# BBP & Chl vs. Time



after Siegel et al. (2005) JGR

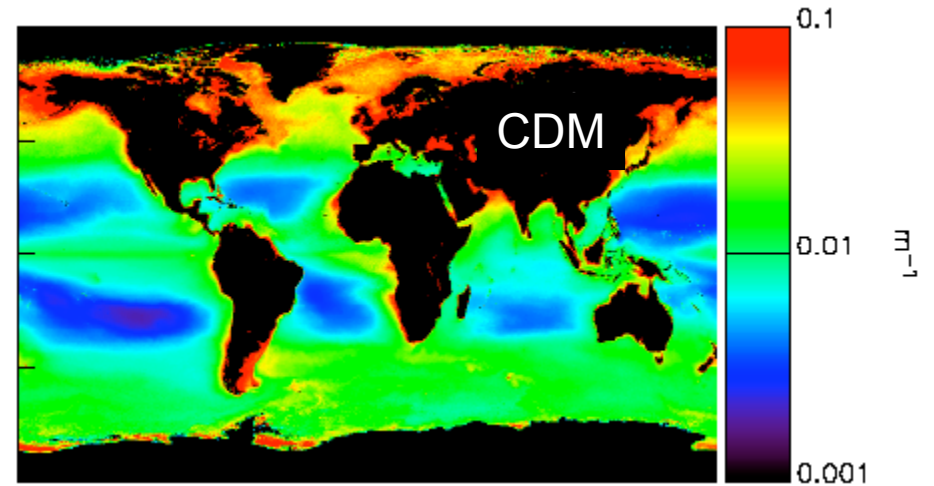
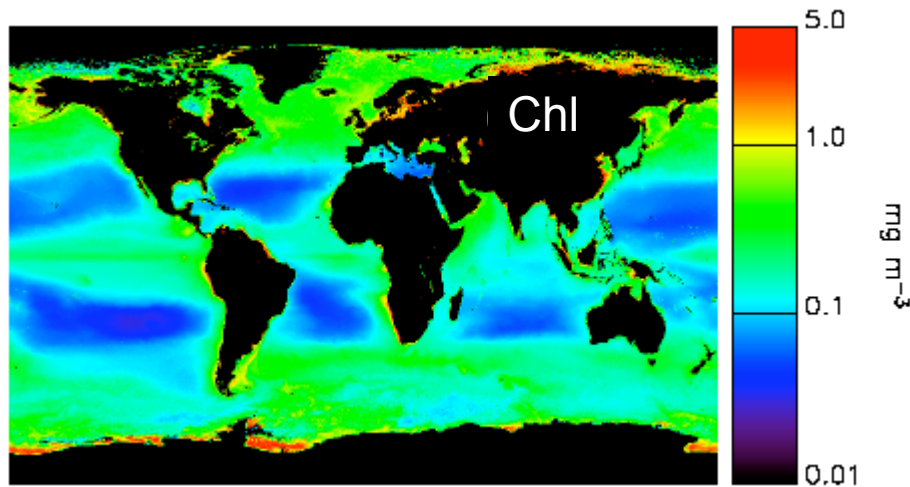
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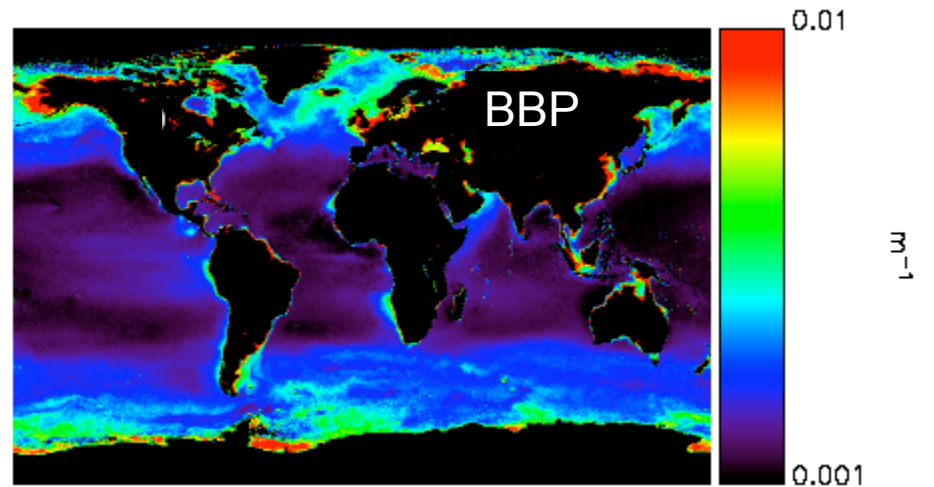
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# The Ocean Color Trio



SeaWiFS 5 y climatology  
Oceanic structures  
Gyres, upwelling, etc.  
Large variability in Chl &  
CDOM but not BBP



Siegel et al. (2005) JGR