

# *Updates on ocean color algorithms: from Chl a to POC and PIC*

Chuanmin Hu, University of South Florida

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## NASA PIs:

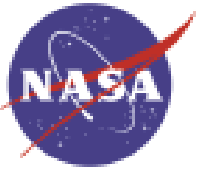
Chuanmin Hu for Chl, University of South Florida

Dariusz Stramski for POC, Scripps Institution of Oceanography

William M. Balch for PIC, Bigelow Lab for Ocean Sciences

## Co-authors and collaborators:

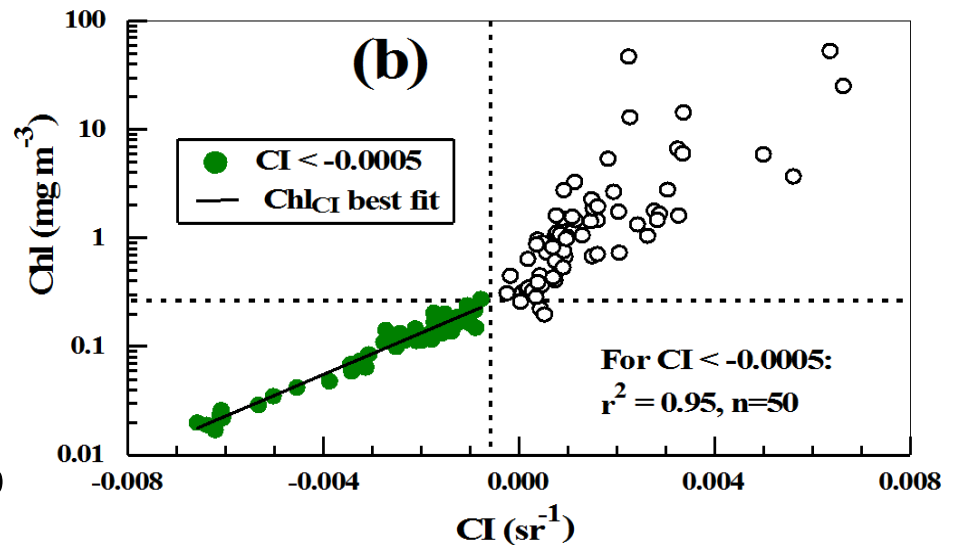
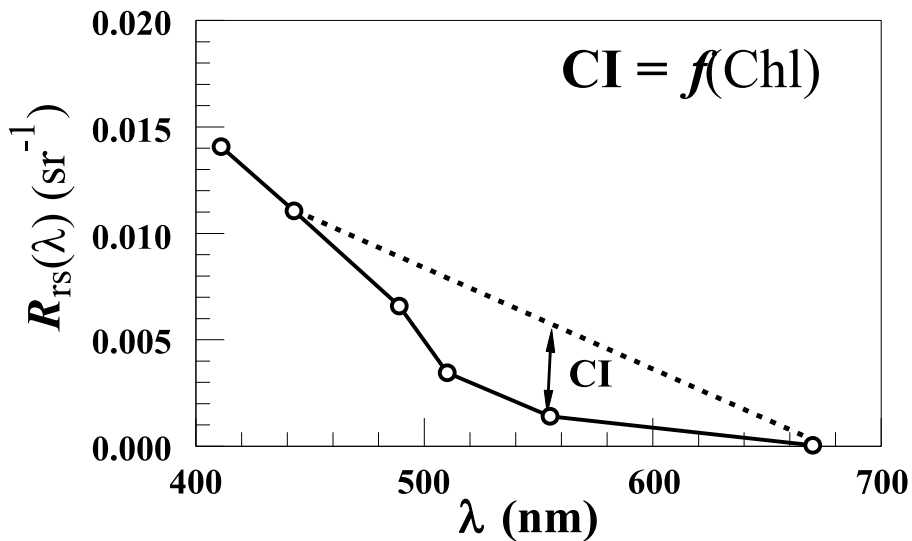
L. Feng, Z. Lee, B. Franz, J. Werdell, S. Bailey, C. Proctor, C. Mitchell, B. Bowler, D. Drapeau, C. Le, X. Zhou, L. Li

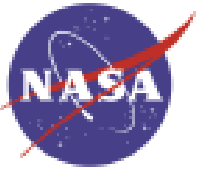


## Updates on OCI Chl algorithm

Original proof-of-concept proposed in Hu et al. (2012, JGR)

- $CI = f(\text{Chl})$  for  $\text{Chl} < 0.4 \text{ mg m}^{-3}$  because it is an index for total absorption
- $CI$  is immune to spectrally flat and additive input  $R_{rs}$  errors
- OCI switches from  $CI$  to  $OCx$  at higher  $\text{Chl}$  ( $> 0.3$ )
- Transition zone of  $0.25 - 0.3$  for weighted mixing
- Significantly reduced uncertainties and improved image quality and cross-sensor consistency for low-Chl waters
- OCI became default SeaDAS algorithm starting R2014.0

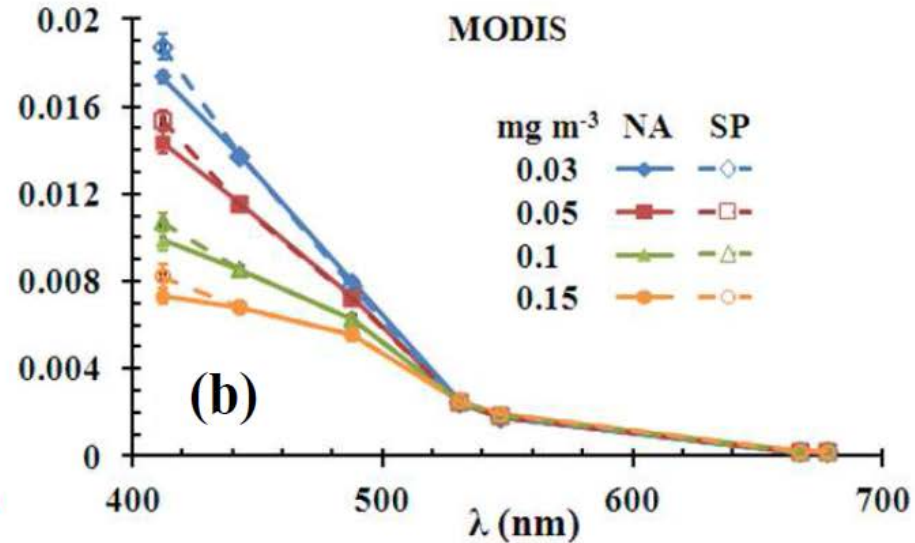
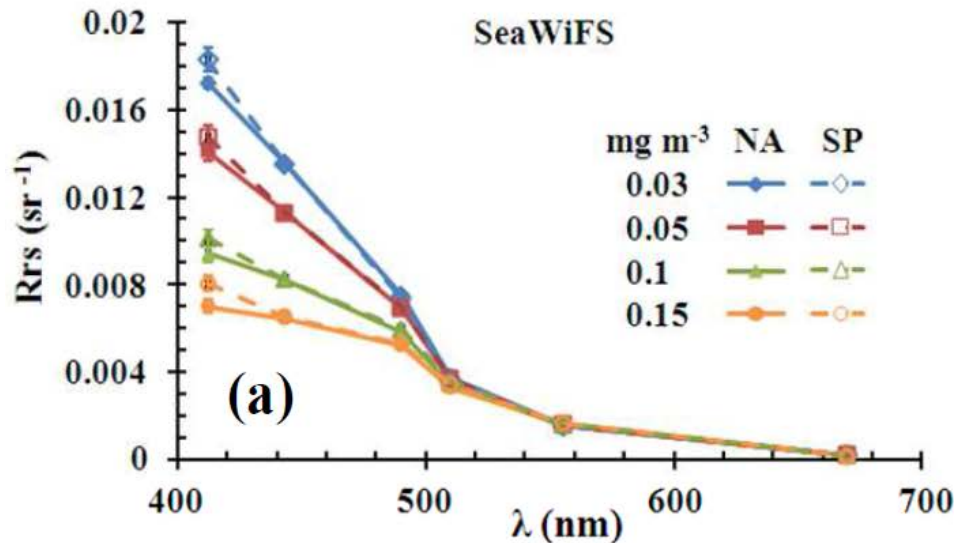


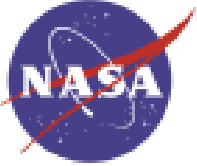


## Updates on OCI Chl algorithm

What's the problem?

- Transition zone of 0.25 – 0.3 led to non-smooth transition in global histogram
- Only 50 HPLC-Chl data points were used to tune the CI algorithm coefficients
- Its tolerance to straylight not taken into account in data binning
- CDOM impacts not accounted for



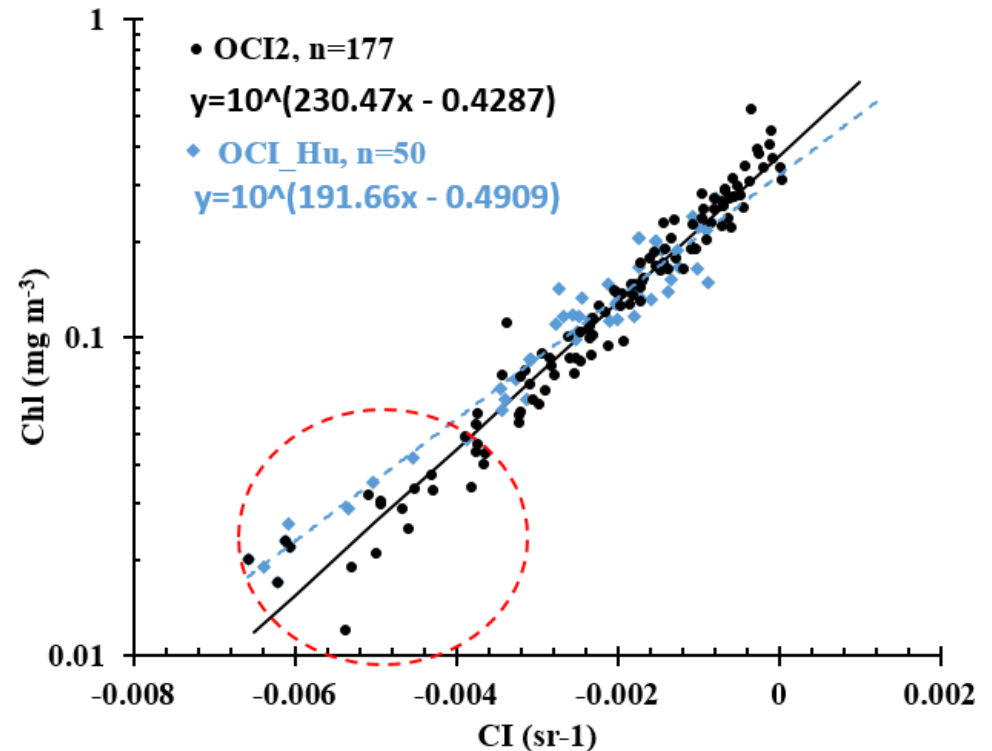


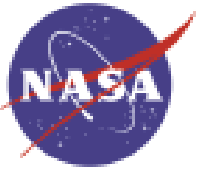
# Updates on OCI Chl algorithm

## Approaches and Results

- Include both HPLC and fluorometric Chl in algorithm tuning
- Enlarge the transition zone to 0.25 – 0.4 mg m<sup>-3</sup>
- Evaluate straylight flagged pixels on data quality
- Empirical correction using 412-nm and other bands

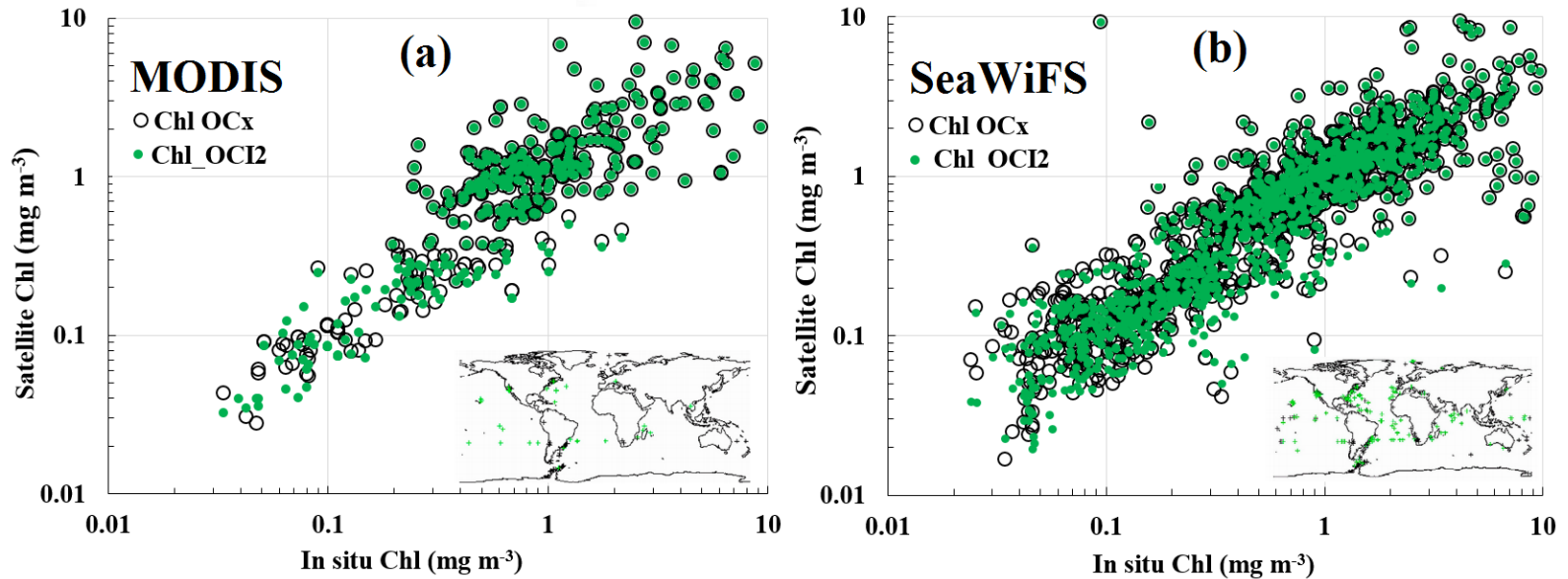
- Chl < 0.4 mg m<sup>-3</sup> from NOMAD data (1051 points)
- Gridded into log space for Chl (177 points)



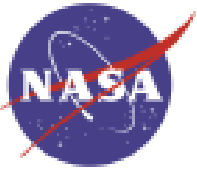


# Updates on OCI Chl algorithm

## Approaches and Results – SeaBASS validation



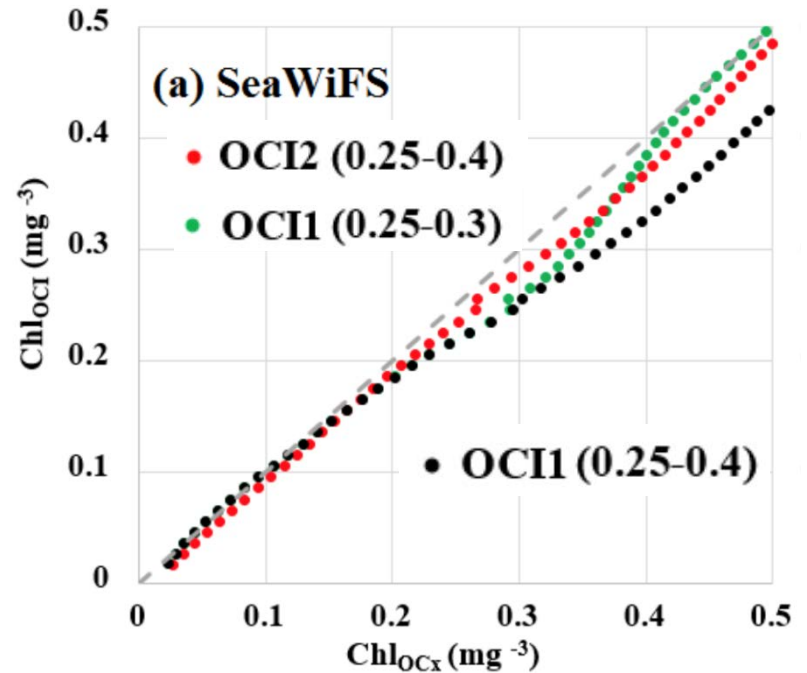
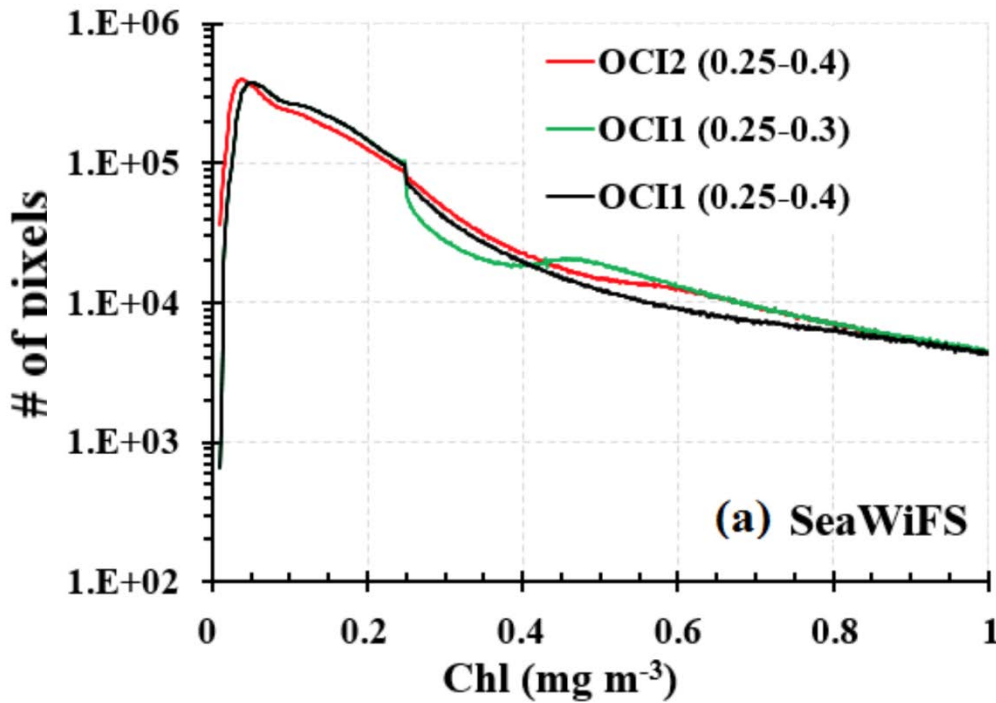
		RMSE	URMSE	Mean Ratio	Median ratio	MRE	R <sup>2</sup>	log(R <sup>2</sup> )	N
	OCx	77.7%	44.2%	1.24	1.05	32.0%	0.42	0.66	63
MODISA	CI1	43.9%	32.7%	1.15	1.04	25.4%	0.62	0.71	63
	CI2	51.2%	37.6%	1.12	0.94	35.2%	0.59	0.71	63
	OCx	535.8%	54.2%	1.79	1.19	41.5%	0.01	0.33	357
SeaWiFS	CI1	91.8%	47.2%	1.40	1.16	36.8%	0.31	0.39	357
	CI2	102.0%	49.6%	1.38	1.14	39.4%	0.28	0.39	357



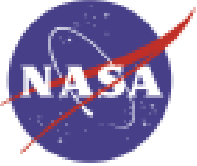
# Updates on OCI Chl algorithm

## Approaches and Results – Smoother Transition

- Smoother transition than original OCI (0.25 – 0.3) or adjusted OCI (0.25 – 0.4)
- Same for all sensors (SeaWiFS, MODISA, VIIRS)



Statistics generated from 1-year global daily data

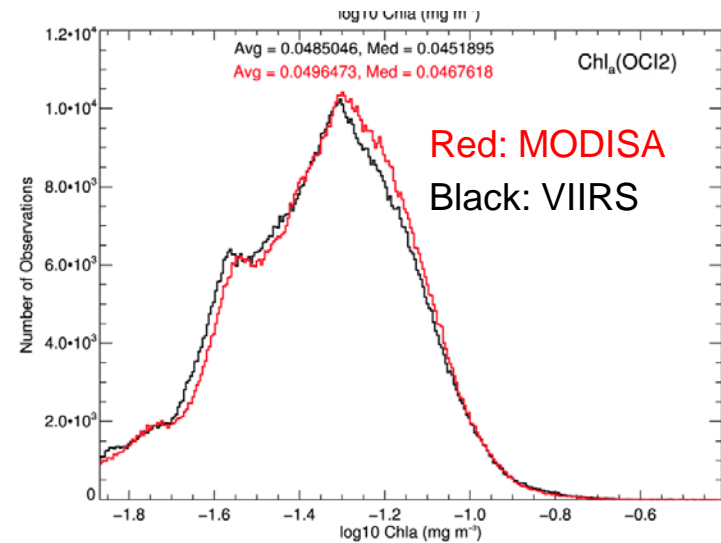
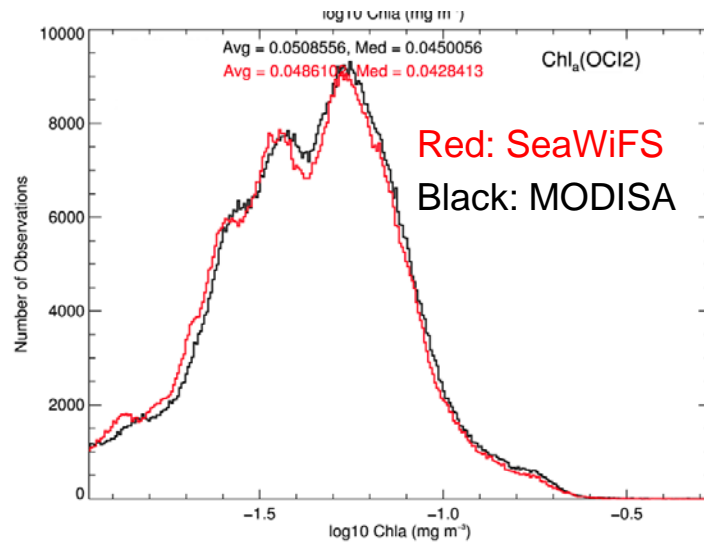


# Updates on OCI Chl algorithm

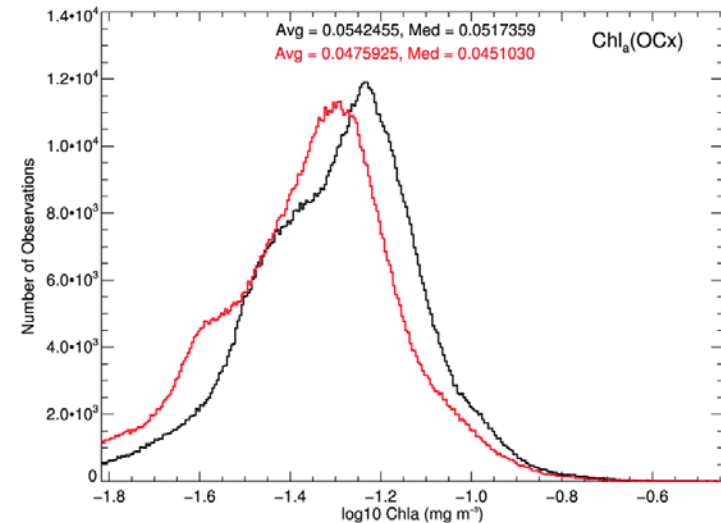
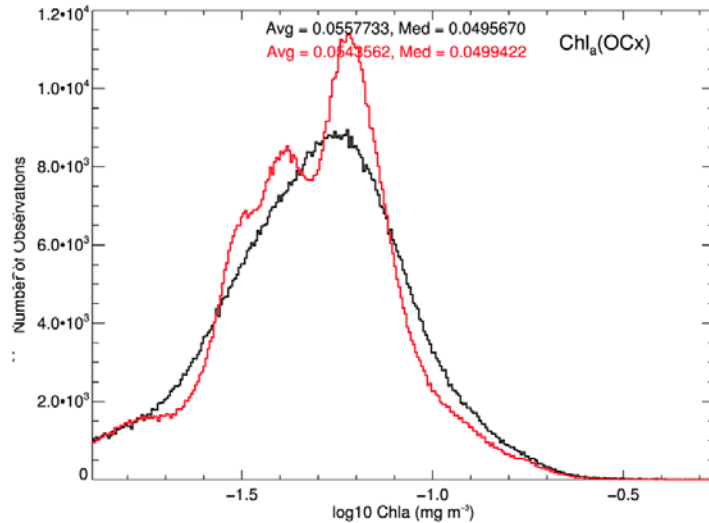
## Approaches and Results – Cross-sensor Consistency

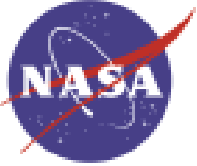
Global oligotrophic water for November 2010 (left) and November 2013 (right)

OCI2



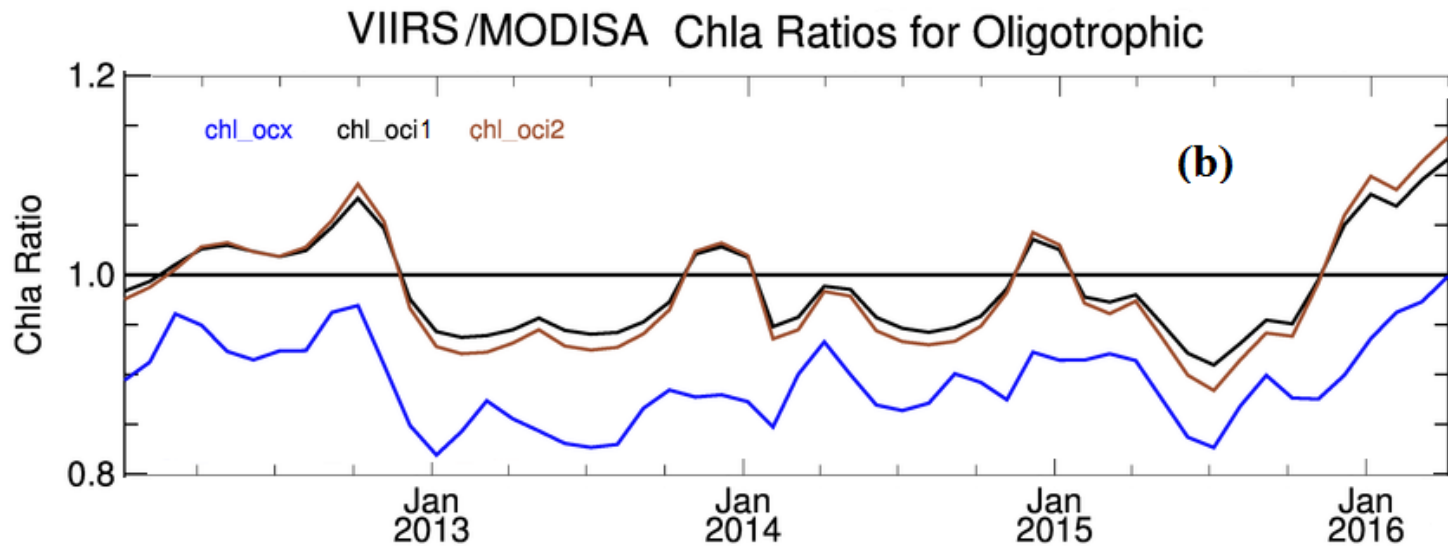
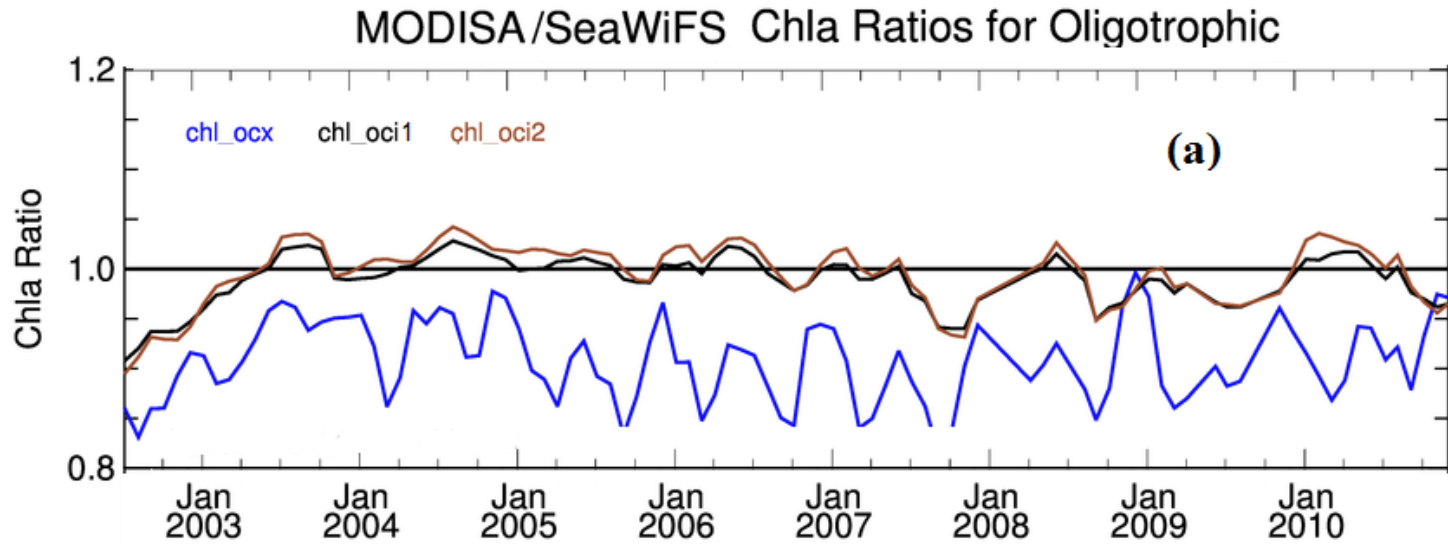
OCx



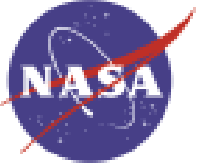


# Updates on OCI Chl algorithm

## Approaches and Results – Cross-sensor Consistency

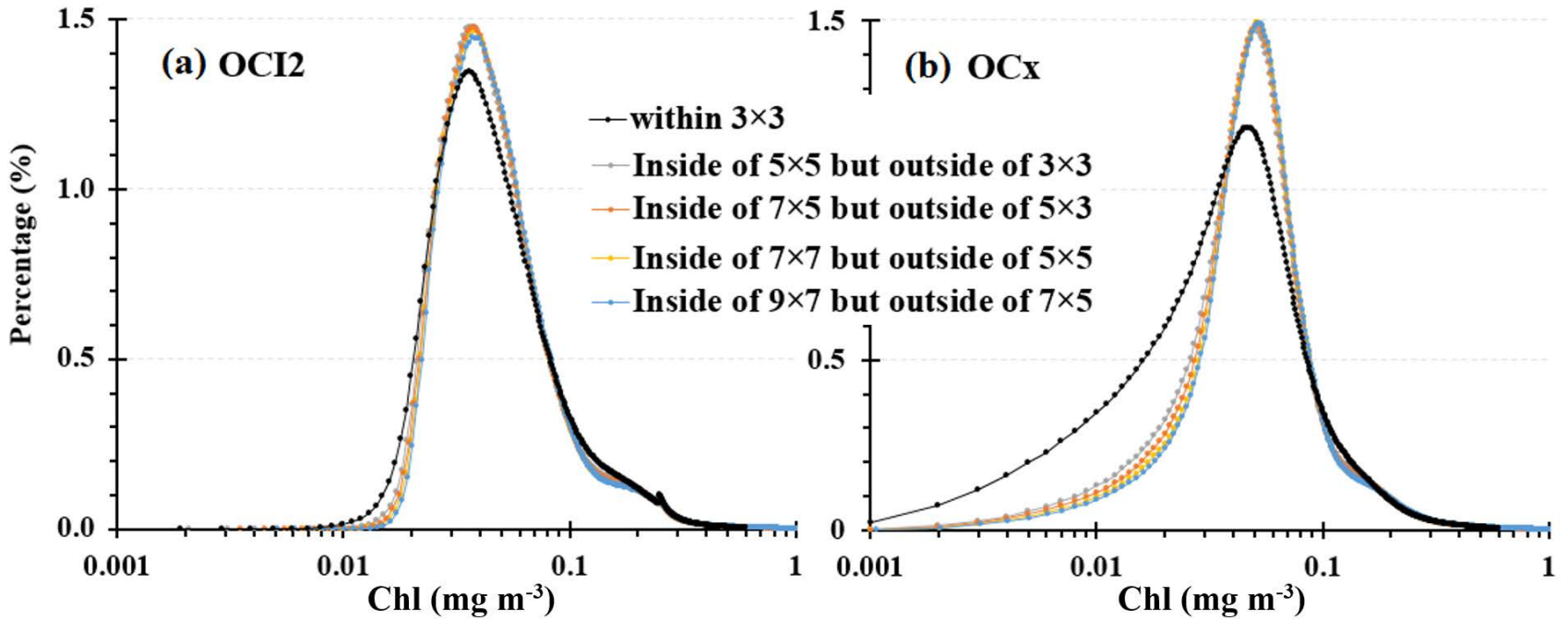
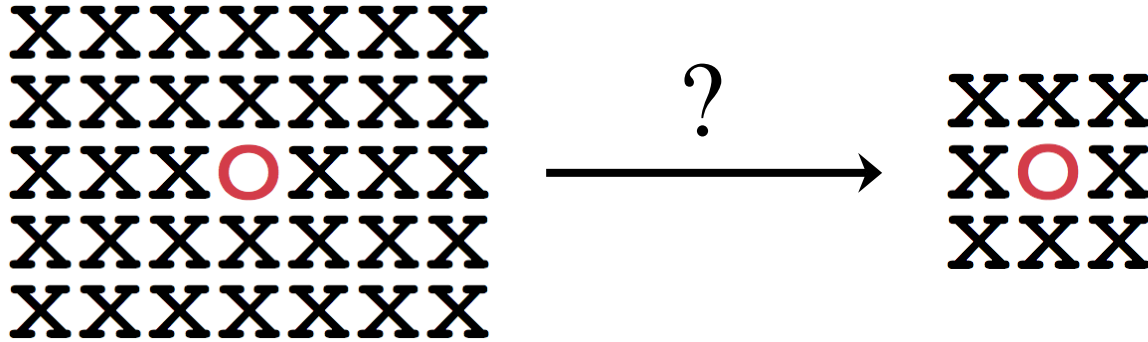


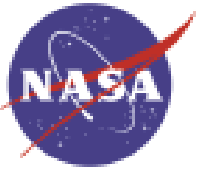




# Updates on OCI Chl algorithm

## Approaches and Results – Relaxing Straylight Mask





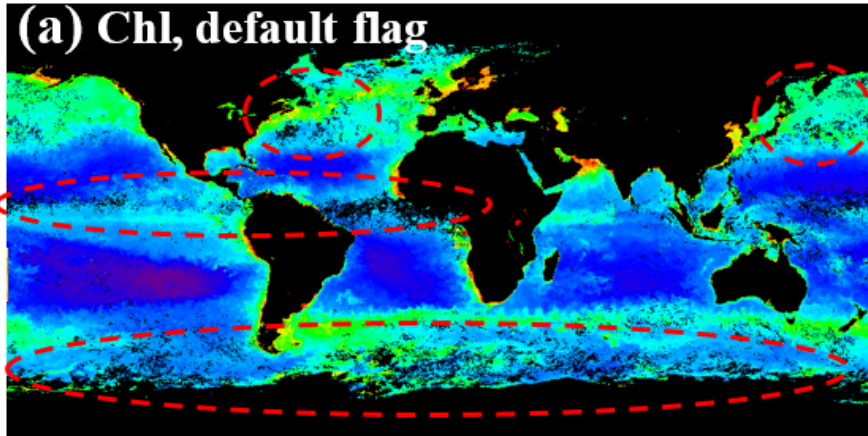
# Updates on OCI Chl algorithm

Approaches and Results – Relaxing Straylight Mask

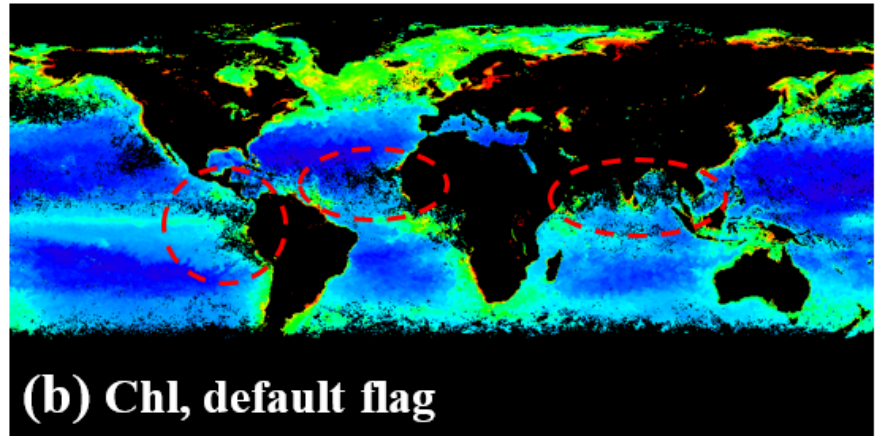
March 2005

July 2005

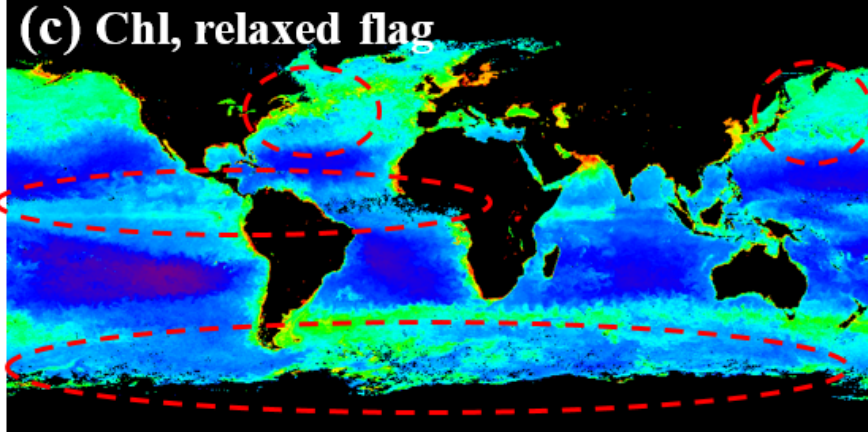
(a) Chl, default flag



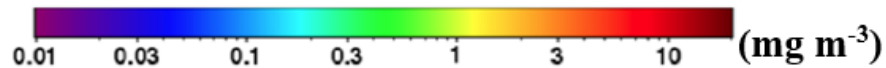
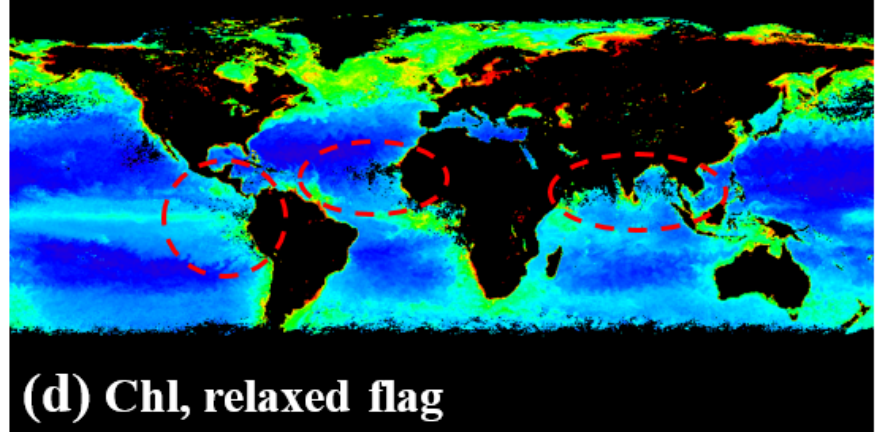
(b) Chl, default flag

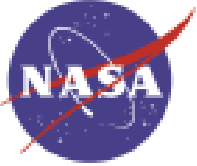


(c) Chl, relaxed flag



(d) Chl, relaxed flag

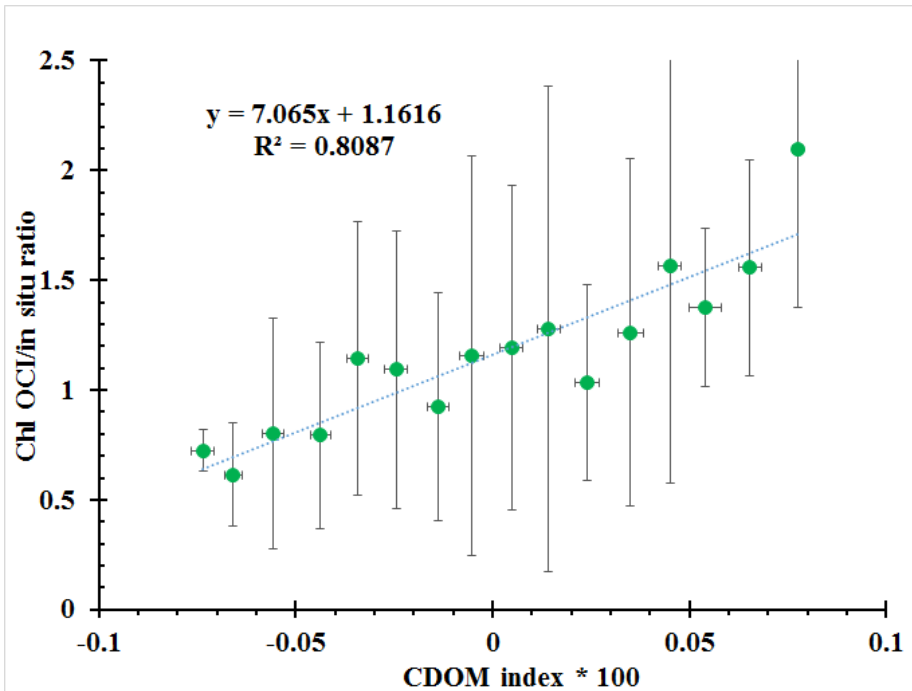




# Updates on OCI Chl algorithm

## Approaches and Results – Reducing CDOM Impacts?

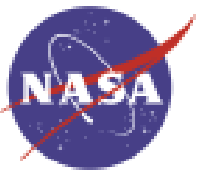
### Global empirical correction from NOMAD



### Regional validation from SeaBASS

Area	N	Chl satellite/in situ	
		OCI	CDOM corrected
global	450	$1.13 \pm 0.76$	$0.99 \pm 0.64$
s_pacific	21	$0.63 \pm 0.22$	$0.68 \pm 0.28$
n_pacific	70	$1.02 \pm 0.9$	$0.85 \pm 0.68$
n_atlantic	63	$1.15 \pm 0.65$	$0.94 \pm 0.54$
s_atlantic	48	$0.92 \pm 0.42$	$0.86 \pm 0.41$
Others	248	$1.09 \pm 0.68$	$1.25 \pm 0.79$

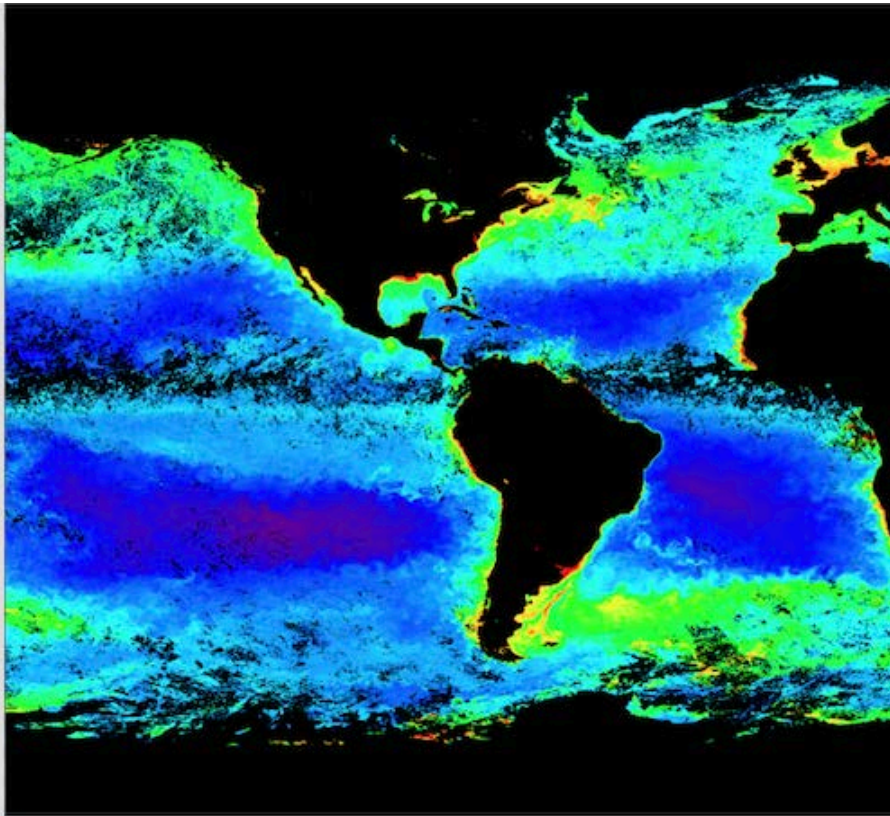
Conclusion: doesn't appear to work!



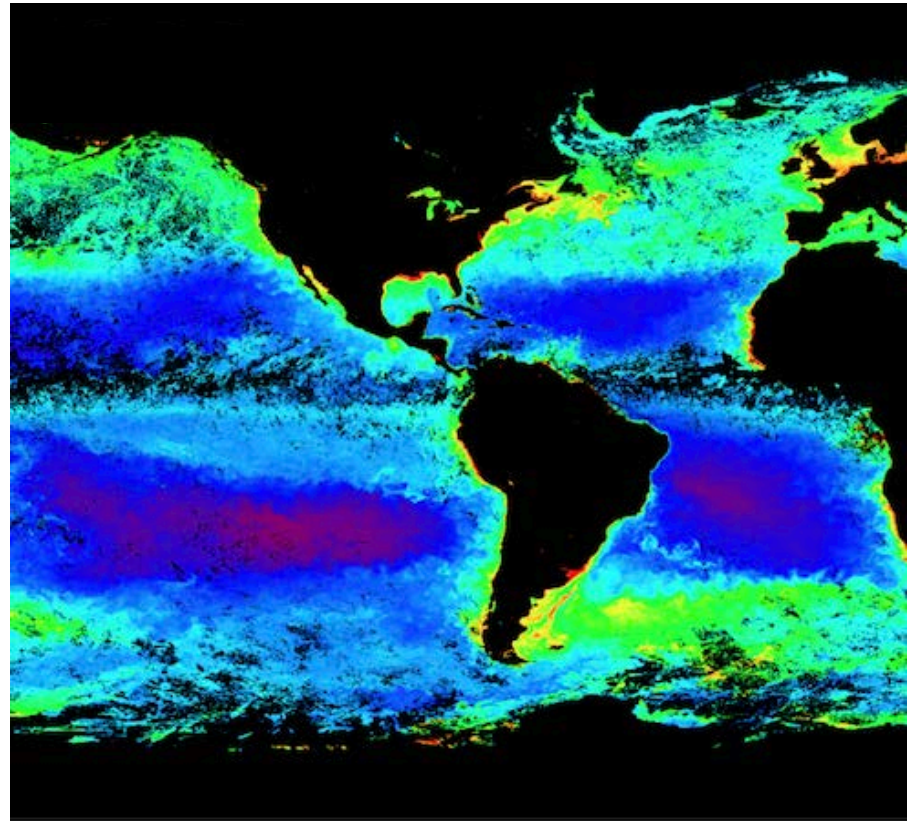
# Updates on OCI Chl algorithm

Remaining issues – Which one is closer to truth?

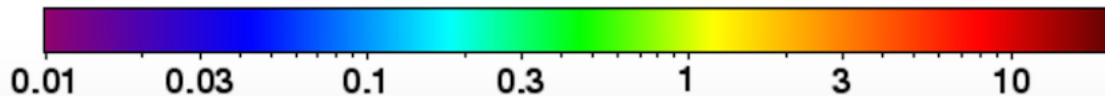
OCI1 (NASA default)

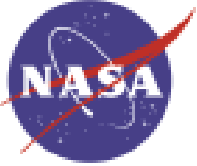


OCI2 (new)



Chlorophyll a concentration ( mg / m<sup>3</sup> )

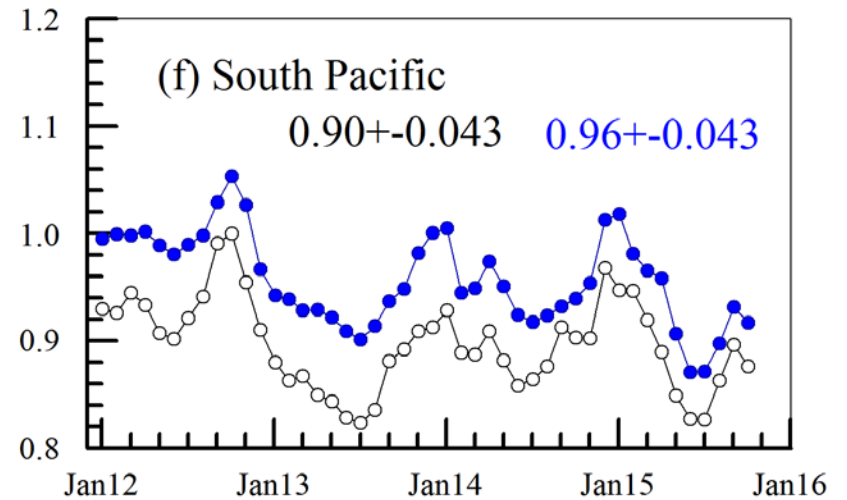
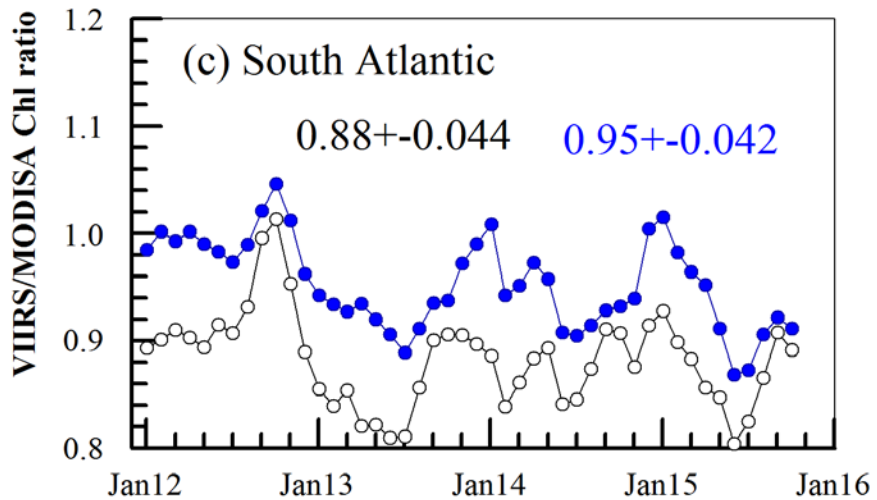
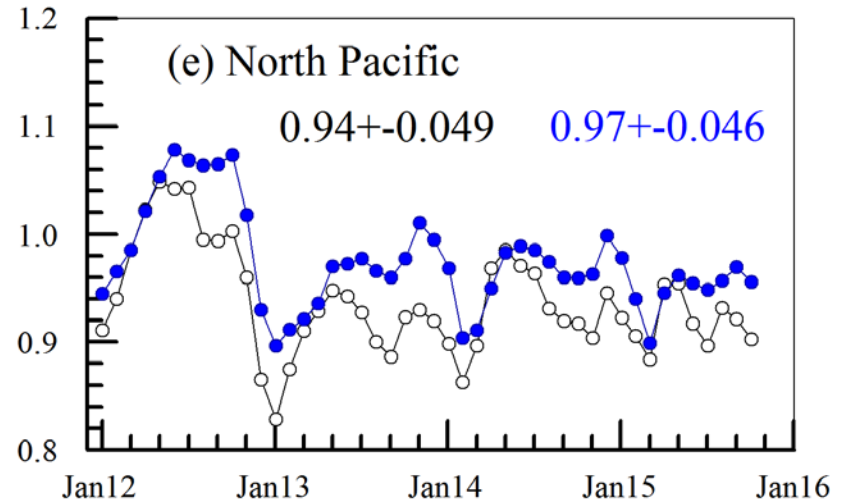
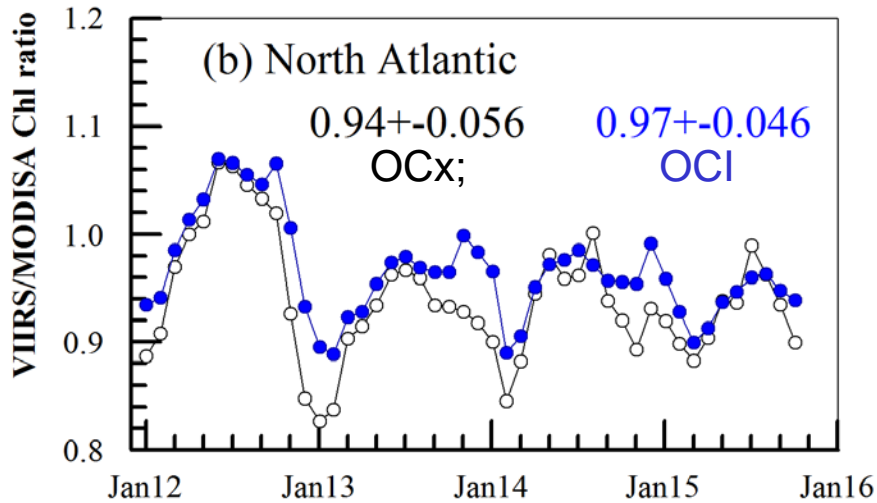




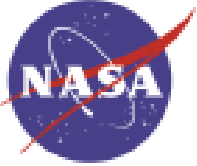
# Updates on OCI Chl algorithm

Remaining issues – the puzzle of opposite seasonality

Opposite seasonality between the two hemispheres - why?



Time (2012 - 2015)

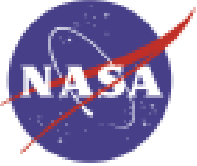


## Updates on OCI Chl algorithm

### Summary

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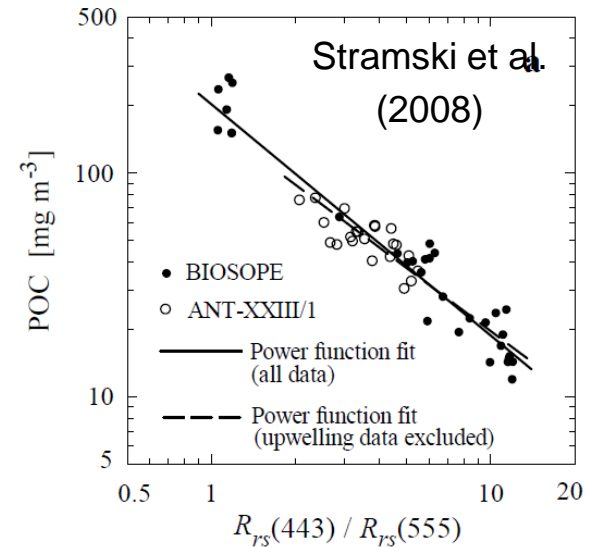
- OCI2 algorithm formulated (new algorithm coefficients, new transition zone)
- Gauged by in situ Chl, OCI2 is comparable to OCI1 in accuracy
- Gauged by algorithm transition, OCI2 is better than OCI1
- Both are better than OCx in product accuracy and cross-sensor consistency
- MODIS 7x5 straylight mask could be relaxed to 3x3, leading to 40% data increase
- Impacts by CDOM still unresolved
- Most importantly, is global minimum Chl in ocean gyres 0.01 or 0.02 mg m<sup>-3</sup>? Current data cannot resolve this puzzle. Likewise, the opposite seasonality in MODIS/VIIRS Chl ratios between the two hemispheres still remains a puzzle
- In terms of studying climate change, cross-sensor consistency is extremely important but it cannot be evaluated using in situ data alone
  
- Hu, C., Feng, L., Lee, Z., Franz, B. A., Bailey, S. W., Werdell, P. J., & Proctor, C. W. (2019). Improving satellite global chlorophyll a data products through algorithm refinement and data recovery. *Journal of Geophysical Research: Oceans*, 124. <https://doi.org/10.1029/2019JC014941>.

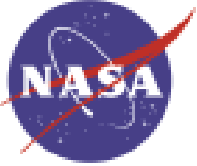


## Updates on POC algorithm

### From band-ratio to band-difference?

- Several approaches have been proposed. Of these, B/G band ratio algorithm (Stramski et al., 2008) performed better than 2-step IOP-based algorithm for global oceans, which was chosen by NASA as the default to produce global POC. Current B/G algorithm is based on open-cean data with  $POC < 300 \text{ mg m}^{-3}$ .
- Potential “problems”:
  - Applicability to waters with higher POC needs further investigation
  - Same B/G ratio was also used in OCx to estimate Chl, so POC and Chl are not independent
  - All potential algorithm artifacts faced by OCx for clear waters will also be faced by B/G POC
- Solution: formulate a new band-difference approach to overcome these issues?



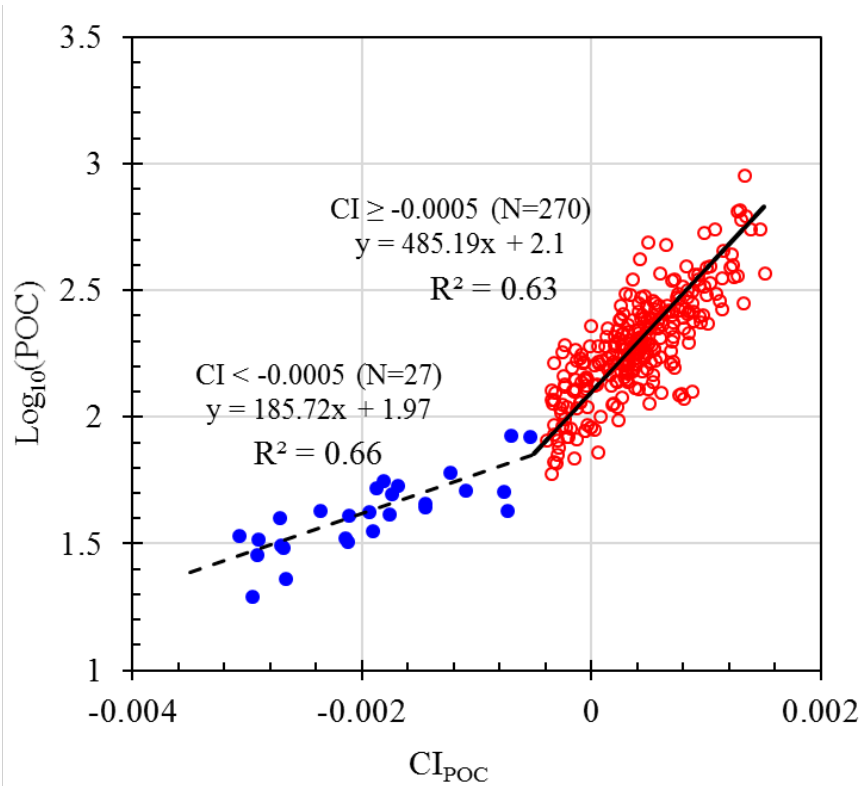


# Updates on POC algorithm

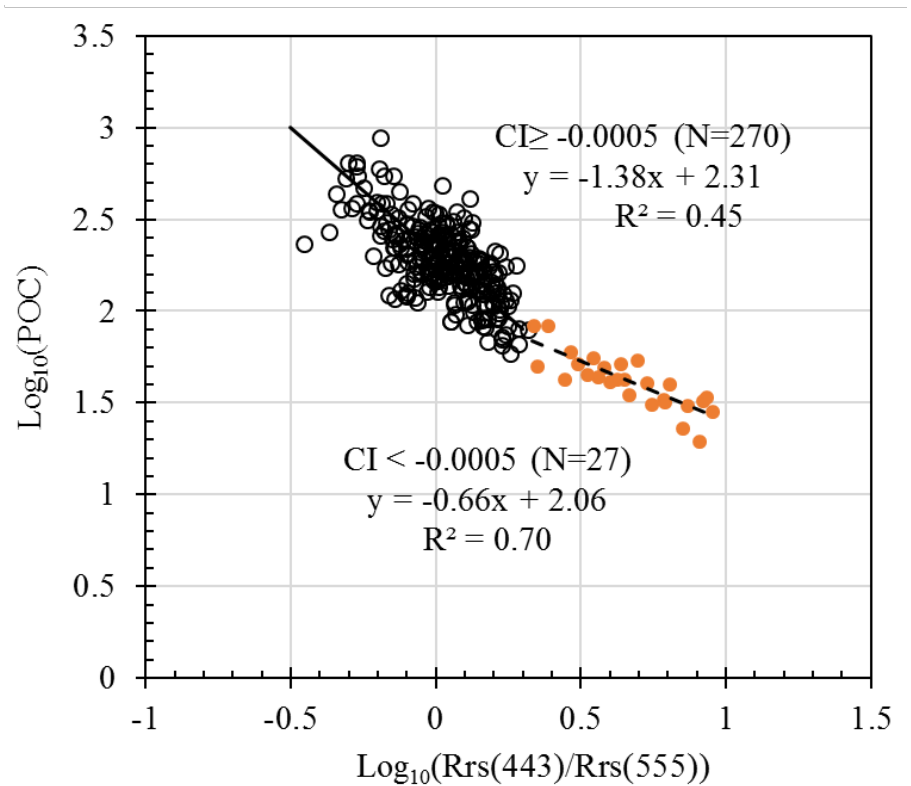
## From band-ratio to band-difference?

- $CI_{POC}$  derived from 490, 555, and 670

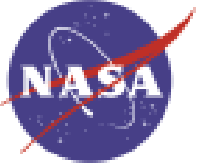
### Satellite $CI_{POC}$ versus in situ POC



### Satellite B/G ratio versus in situ POC

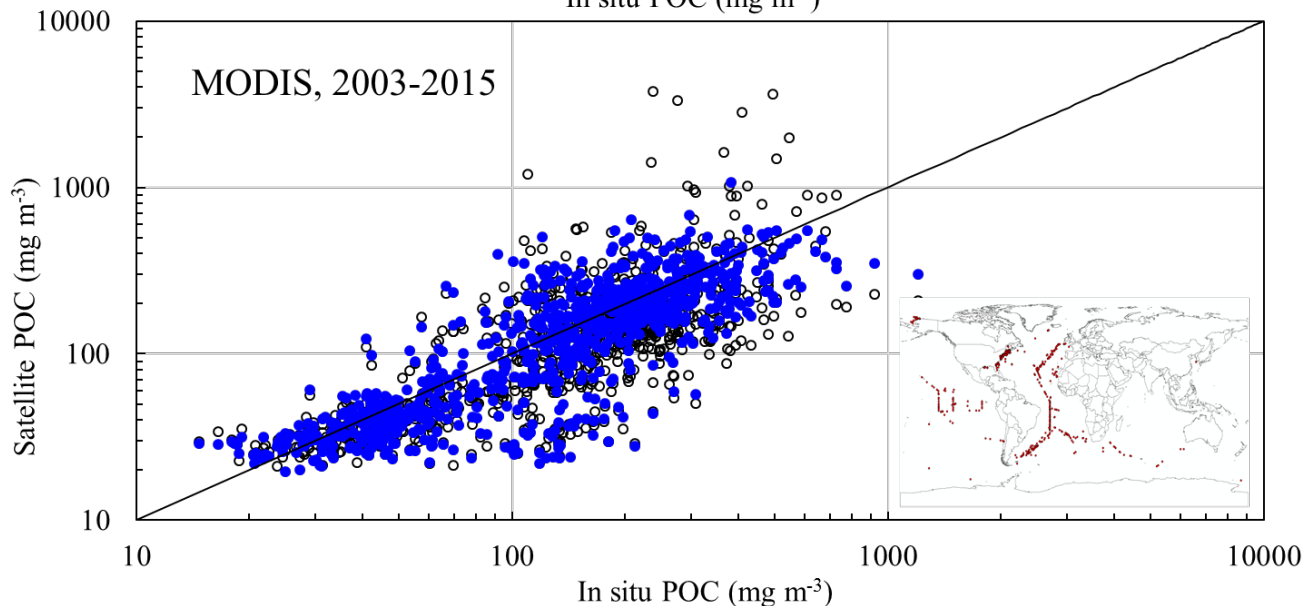
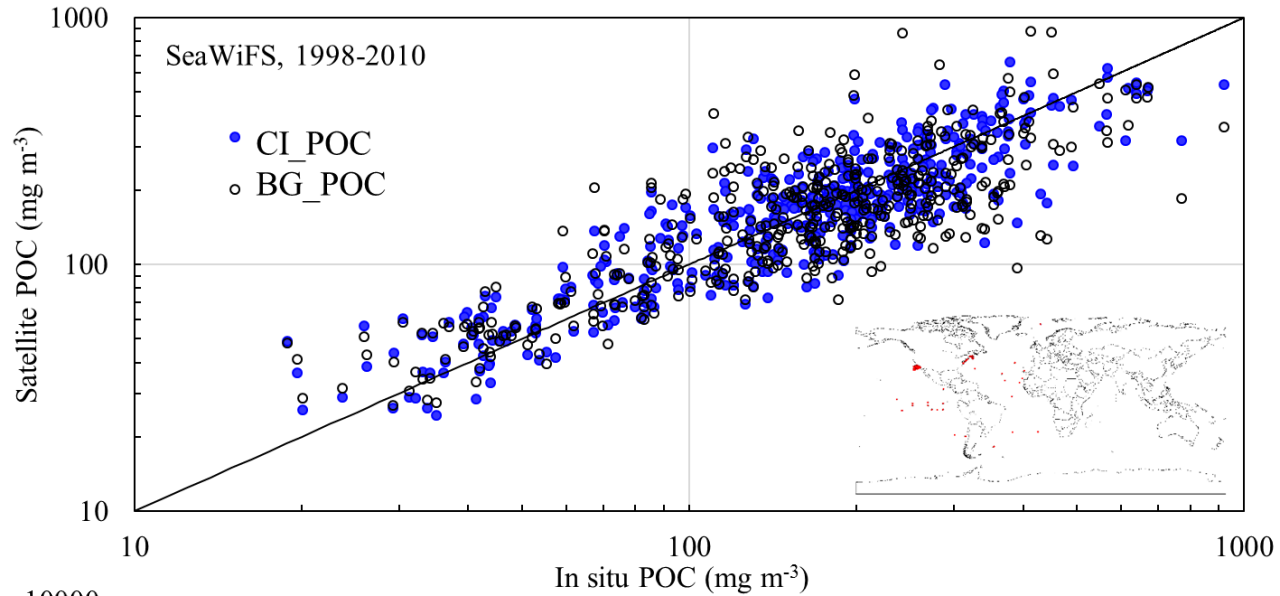


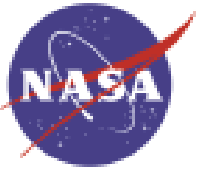




# Updates on POC algorithm

## Global Evaluation

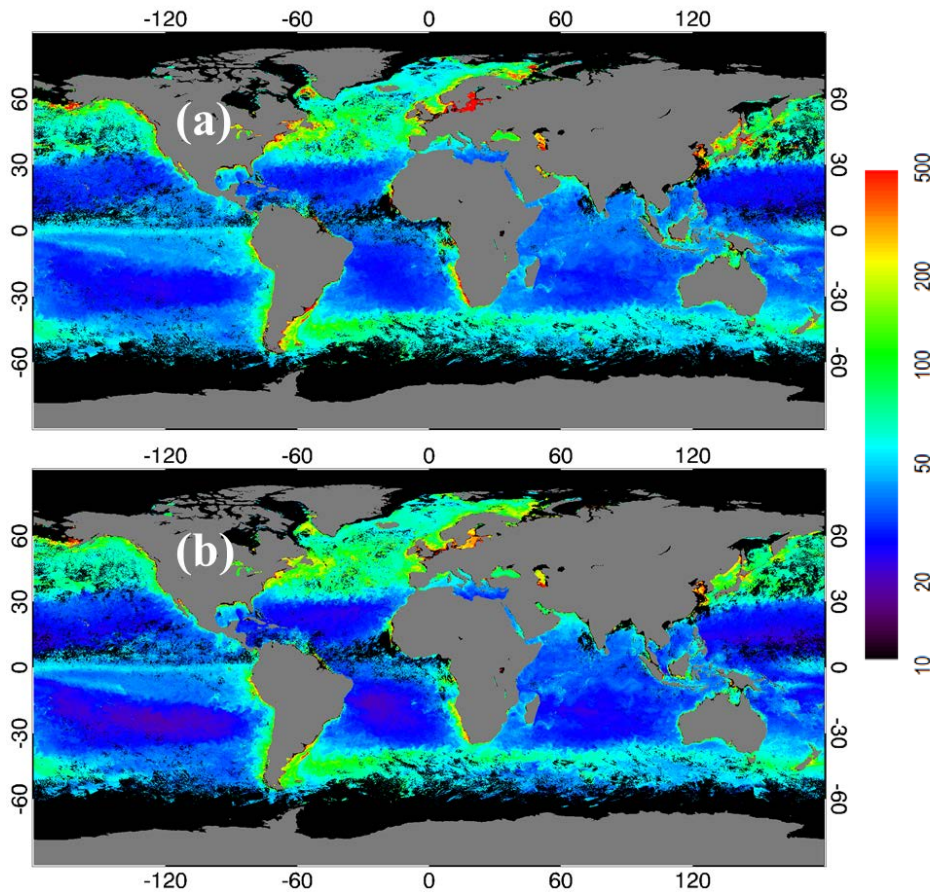




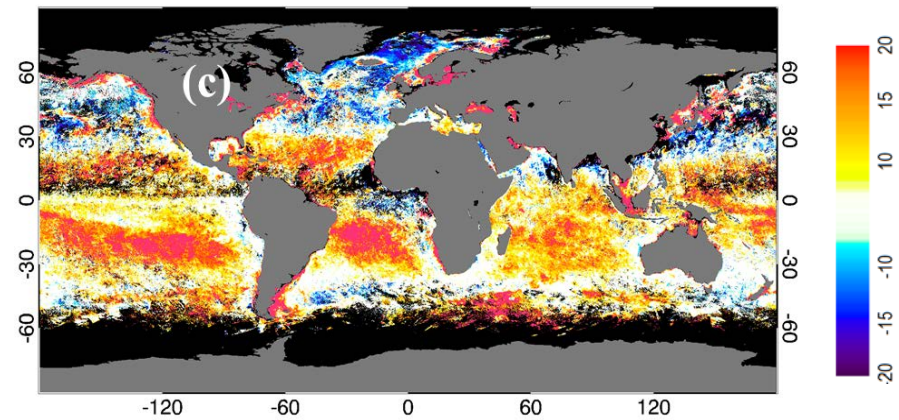
# Updates on POC algorithm

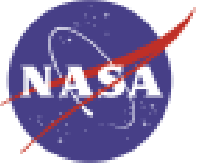
## Global Evaluation

MODIS March 2010; top: B/G POC  
Bottom:  $Cl_{POC}$  POC



Relative difference (%):  
B/G versus  $Cl_{POC}$

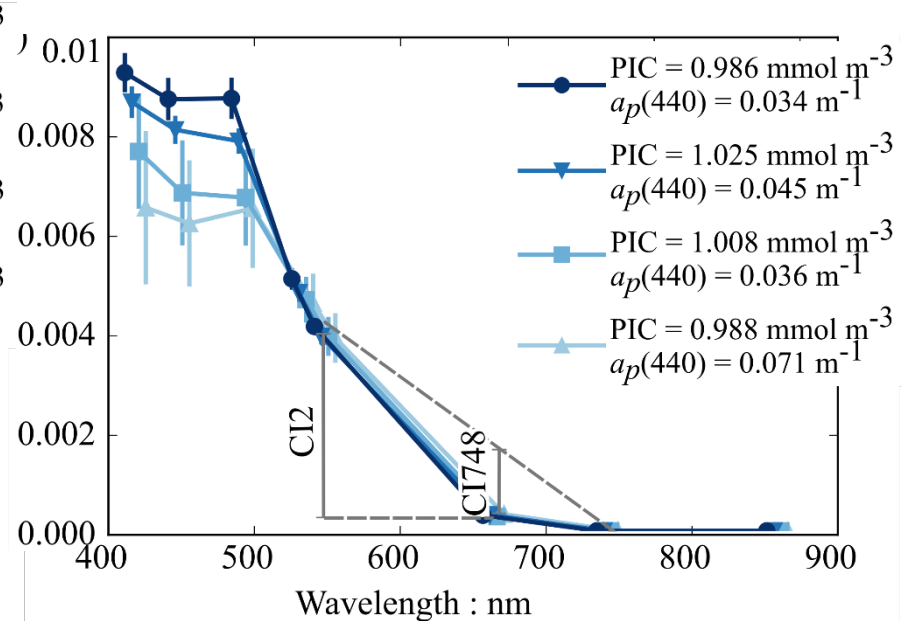
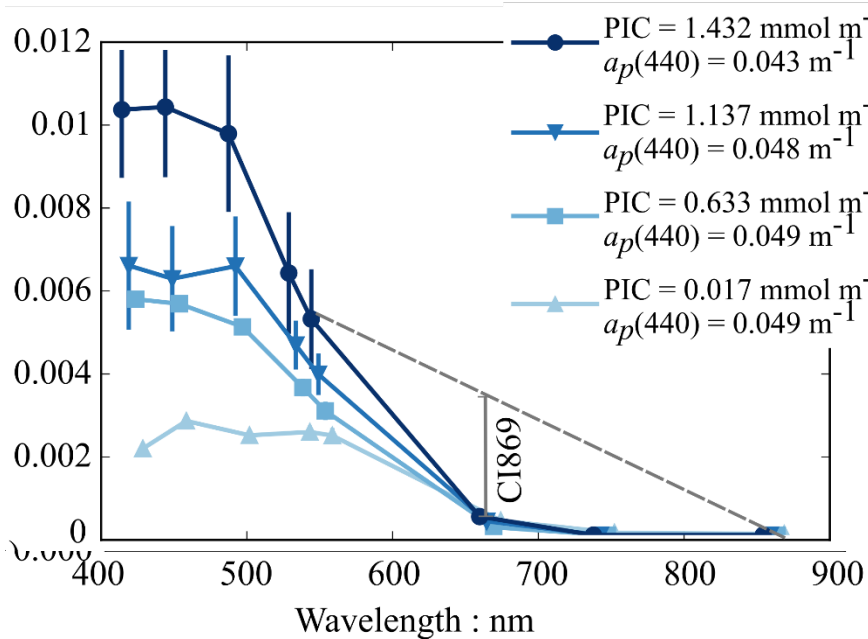


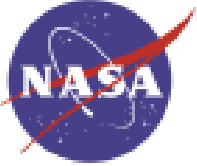


# Updates on PIC algorithm

## From LUTs or band-difference?

- Current NASA approach uses a merge of Gordon (2001, Red and NIR) for high PIC and Balch (2005, radiative transfer based LUTs) for lower PIC
- Can we extend the band-difference concept to PIC algorithm?
- CI670 sensitive PIC, but not to  $a_p$



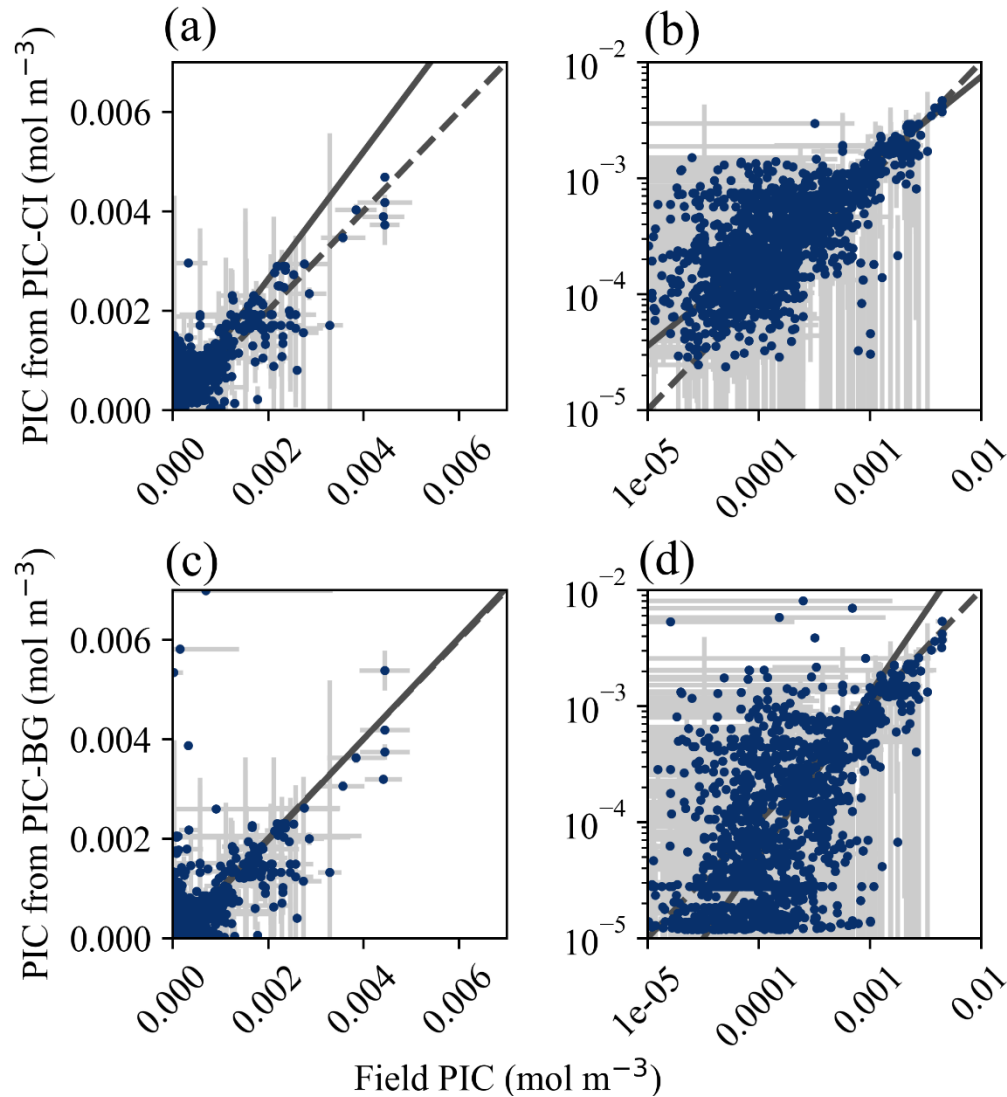


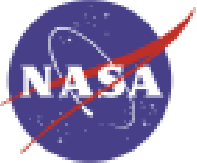
# Updates on PIC algorithm

## Algorithm Performance

Left: linear scale

Right: log scale

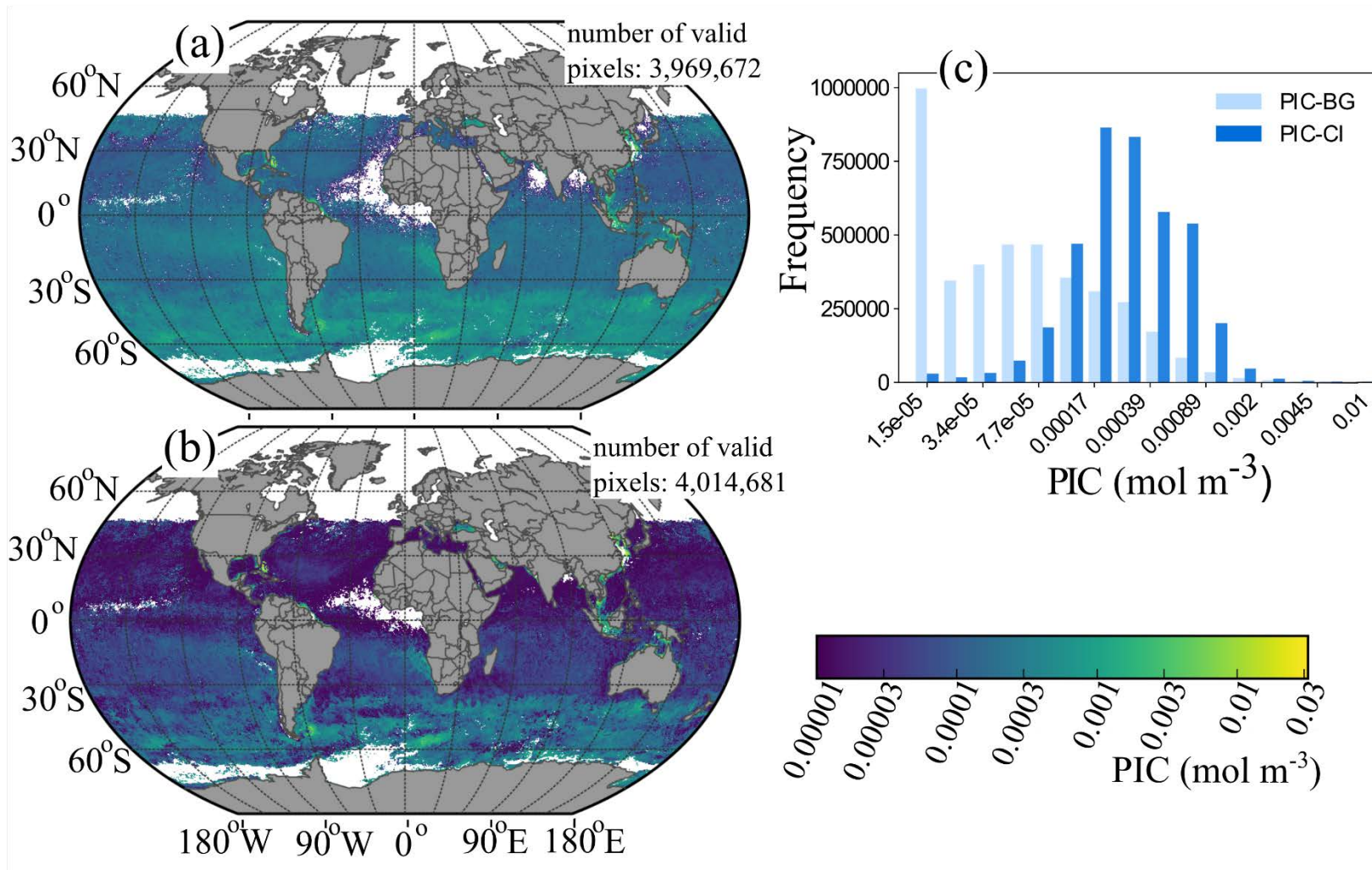


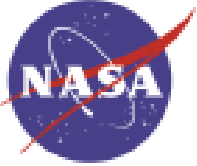


# Updates on PIC algorithm

## Algorithm Performance

MODIS PIC in December 2015: Top: CI approach; Bottom: default approach





# Updates on POC and PIC algorithms

## Summary

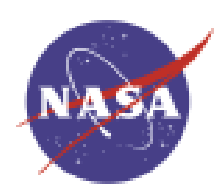
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### POC:

- Color index formed between 490, 555, and 670 appears to outperform B/G ratio algorithms for SeaWiFS, MODIS, and MERIS, especially for high-POC waters
- Additional evaluation using field data and cross-sensor comparison still required
- Le, C., Zhou, X., Hu, C., Lee, Z., Li, L., & Stramski, D. (2018). A color-index-based empirical algorithm for determining particulate organic carbon concentration in the ocean from satellite observations. *Journal of Geophysical Research: Oceans*, 123, 7407–7419. <https://doi.org/10.1029/2018JC014014>.

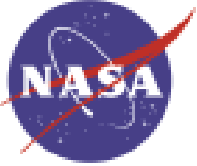
### PIC:

- Color index formed between 547, 667, and 754 outperforms the current NASA default
- Algorithm implemented in SeaDAS, and global data available from OC.DAAC as experimental product
- Mitchell, C., C. Hu, B. Bowler, D. Drapeau, and W. M. Balch (2017). Estimating particulate inorganic carbon concentrations of the global ocean from ocean color measurements using a reflectance difference approach. *Journal of Geophysical Research: Oceans*, 122. <https://doi.org/10.1002/2017JC013146>



## *Backup slides*

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# Updates on POC algorithm

## Absorption or scattering?

Global *in situ* POC versus (a) satellite-derived  $b_b(547)$ , and (b) satellite-derived  $a(488)$  (N = 849).

